

<b>SOLICITATION, OFFER AND AWARD</b>		1. THIS CONTRACT IS A RATED ORDER UNDER DPAS (15 CFR 350)		RATING <b>DO-C9</b>	PAGE OF <b>1   26</b> PAGES
2. CONTRACT NO.	3. SOLICITATION NO. <b>N00173-01-R-DJ01</b>	4. TYPE OF SOLICITATION <input type="checkbox"/> SEALED BID (IFB) <input checked="" type="checkbox"/> NEGOTIATED (RFP)		5. DATE ISSUED <b>15 JUN 01</b>	6. REQUISITION/PURCHASE NO.
7. ISSUED BY <b>CONTRACTING OFFICER NAVAL RESEARCH LABORATORY ATTN: CODE 3220.DJ WASHINGTON DC 20375-5326</b>			CODE <b>N00173</b>	8. ADDRESS OFFER TO (If other than Item 7)	

NOTE: In sealed bid solicitations "offer" and "offeror" mean "bid" and "bidder".

**SOLICITATION**

9. Sealed offers in original and 3 copies for furnishing the supplies or services in the Schedule will be received at the place specified in Item 8, or if handcarried, in the depository located in Bldg. 222, Room 115 until 4pm local time 16 JUL 01  
(Hour) (Date)

CAUTION - LATE Submissions, Modifications, and Withdrawals: See Section L, Provision No. 52.214-7 or 52.215-10. All offers are subject to all terms and conditions contained in this solicitation.

10. FOR INFORMATION CALL:	A. NAME <b>Dale C. Moore Johnson</b>	B. TELEPHONE NO. (Include area code) (NO COLLECT CALLS) <b>202/767-4597</b>
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**OFFER (Must be fully completed by offeror)**

NOTE: Item 12 does not apply if the solicitation includes the provisions at 52.214-16, Minimum Bid Acceptance Period.

12. In compliance with the above, the undersigned agrees, if this offer is accepted within \_\_\_\_\_ calendar days (60 calendar days unless a different period is inserted by the offeror) from the date of receipt of offers specified above, to furnish any or all items upon which prices are offered at the price set opposite each item, delivered at the designated point(s), within the time specified in the schedule.

13. DISCOUNT FOR PROMPT PAYMENT (See Section I, Clause No. 52-232-8)	10 CALENDAR DAYS	20 CALENDAR DAYS	30 CALENDAR DAYS	CALENDAR DAYS
	%	%	%	%

14. ACKNOWLEDGMENT OF AMENDMENTS (The offeror acknowledges receipt of amendments to the SOLICITATION for offerors and related documents numbered and dated:	AMENDMENT NO.	DATE	AMENDMENT NO.	DATE

15A. NAME AND ADDRESS OF OFFEROR	CODE	FACILITY	16. NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (Type or print)
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15B. TELEPHONE NO. (Include area code)	15C. CHECK IF REMITTANCE ADDRESS IS DIFFERENT FROM ABOVE - ENTER SUCH ADDRESS IN SCHEDULE. <input type="checkbox"/>	17. SIGNATURE	18. OFFER DATE
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**AWARD (To be completed by Government)**

19. ACCEPTED AS TO ITEMS NUMBERED	20. AMOUNT	21. ACCOUNTING AND APPROPRIATION
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22. AUTHORITY FOR USING OTHER THAN FULL AND OPEN COMPETITION: <input type="checkbox"/> 10 U.S.C. 2304(c) ( ) <input type="checkbox"/> 41 U.S.C. 253(c) ( )	23. SUBMIT INVOICES TO ADDRESS SHOWN IN (4 copies unless otherwise specified)  ITEM
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24. ADMINISTERED BY (If other than Item 7) CODE	25. PAYMENT WILL BE MADE BY CODE
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26. NAME OF CONTRACTING OFFICER (Type or print)	27. UNITED STATES OF AMERICA  (Signature of Contracting Officer)	28. AWARD DATE
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IMPORTANT - Award will be made on this Form, or on Standard Form 26, or by other authorized official written notice.

**PART I - THE SCHEDULE  
 SECTION B  
 SUPPLIES OR SERVICES AND PRICES/COSTS**

**B-1 SUPPLIES/SERVICES AND COSTS**

<b>ITEM NUMBER</b>	<b>SUPPLIES/SERVICES</b>	<b>ESTIMATED COST</b>	<b>FIXED FEE</b>	<b>TOTAL ESTIMATED COST PLUS FIXED FEE</b>
<u><b>BASIC</b></u>				
0001	The Contractor shall provide research as described in Section C.	\$	\$	\$
0001AA	Reports and Data in accordance with Exhibit A (DD 1423)	* NSP	* NSP	* NSP
	TOTAL CPFF (BASIC):	\$	\$	\$
	<b>TOTAL EST. COST PLUS FIXED FEE INCLUDING OPTIONS IF EXERCISED</b>	\$	\$	\$

\* *Not Separately Priced*

**NOTICE TO OFFERORS:** In addition to inserting the estimated cost and fixed fee for the base year above, the estimated cost and fixed fee for each optional extension of the term of the contract are to be inserted in Section H.

**SECTION C  
 DESCRIPTION/SPECIFICATIONS/STATEMENT OF WORK**

**C-1 STATEMENT OF WORK**

The work and services to be performed hereunder shall be subject to the requirements and standards contained in Attachment (1), Statement of Work, with Exhibit A, Contract Data Requirements List, and all other Attachments cited in Section J, which are incorporated by reference into Section C.

**C-2 REQUIREMENTS FOR ON-SITE CONTRACTORS**

For those portions of the work under this contract performed at any NRL site, the contractor shall comply with the Requirements for On-Site Contractors dated 8 December 2000 which are hereby incorporated by reference. The full text is available at <http://heron.nrl.navy.mil/contracts/home.htm>.

**C-3 SUBCONTRACTING PLAN**

Subcontracting Plan\* dated\* is hereby incorporated by reference and made a material part of this contract.

*(\*this provision will be included and completed at time of award, if applicable)*

**SECTION D  
PACKAGING AND MARKING****D-1 PACKAGING AND MARKING**

Preservation, packaging, packing and marking of all deliverable contract line items must conform to normal commercial packing standards to assure safe delivery at destination.

**SECTION E  
INSPECTION AND ACCEPTANCE****E-1 INSPECTION AND ACCEPTANCE CLAUSES INCORPORATED BY  
REFERENCE****FAR CLAUSE    TITLE**

52.246-9       -    Inspection Of Research And Development (Short Form) (APR 1984)

**DFARS CLAUSE    TITLE**

252.246-7000   -    Material Inspection And Receiving Report (DEC 1991)

**E-2 INSPECTION AND ACCEPTANCE**

Inspection and acceptance of the final delivery will be accomplished by the Technical Manager (TM) or Contracting Officer Representative (COR) designated in Section G of this contract. Inspection and acceptance will be performed at the Naval Research Laboratory, Washington DC 20375-5320.

**SECTION F  
DELIVERIES OR PERFORMANCE**

**F-1 DELIVERIES OR PERFORMANCE CLAUSES INCORPORATED BY  
REFERENCE:**

**FAR CLAUSE    TITLE**

52.242-15    -    Stop-Work Order (AUG 1989) - Alternate I (APR 1984)  
52.247-34    -    F.O.B. Destination (NOV 1991)

**F-2 PERIOD AND PLACE OF PERFORMANCE**

(a) The term of this contract is from the date of award through twelve 12 months thereafter, with four (4) options that will extend the period of performance for an additional 12 months each, if exercised.

(b) The principal place of performance of this contract shall be Naval Research Laboratory, 4555 Overlook Avenue, SW, Washington, DC 20375-5320.

**SECTION G  
CONTRACT ADMINISTRATION DATA**

**G-1 PROCURING OFFICE REPRESENTATIVE**

In order to expedite administration of the contract, the Administrative Contracting Officer (ACO) will direct inquiries to the appropriate office listed below. Please do not direct routine inquiries to the person listed in Item 20A on Standard Form 26.

Contract Matters- \*

Security Matters- \*

Safety Matters- \*

Patent Matters- \*

Release of Data- \*

The ACO will forward invention disclosures and reports directly to the Associate Counsel for Patents, Code 1008.2, Naval Research Laboratory, Washington DC 20375-5320.

The Associate Counsel for Patents will return the reports along with a recommendation to the Administrative Contracting Officer. The Associate Counsel for Patents will

represent the Contracting Officer with regard to invention reporting matters arising under this contract.

( \* To be completed at time of award)

## **G-2 CONTRACTING OFFICER'S REPRESENTATIVE (COR) - FUNCTIONS AND LIMITATIONS**

\* is hereby designated the cognizant COR who will represent the Contracting Officer in the administration of technical details within the scope of this contract and inspection and acceptance. The COR is not otherwise authorized to make any representations or commitments of any kind on behalf of the Contracting Officer or the Government. The COR does not have the authority to alter the Contractor's obligations or change the specifications in the contract. If, as a result of technical discussions, it is desirable to alter contract obligations or statements of work, a modification must be issued in writing and signed by the Contracting Officer. The COR is responsible for reviewing the bills and charges submitted by the Contractor and informing the ACO of areas where exceptions are to be taken.

( \* To be completed at time of award)

## **G-3 TECHNICAL DIRECTION MEMORANDUM (TDM)**

- (a) For the purposes of this clause, technical direction includes the following:
- (1) Direction to the Contractor which shifts work emphasis between work areas or tasks, requires pursuit of certain lines of inquiry, fills in details or otherwise describes work which will accomplish the objectives described in the statement of work;
  - (2) Guidelines to the Contractor which assist in interpretation of drawings, specifications or technical portions of work description.
- (b) Technical instructions must be within the scope of work stated in the contract. Technical instructions may not be used to:
- (1) Assign additional work under the contract;
  - (2) Direct a change as defined in the contract clause entitled "Changes";
  - (3) Increase or decrease the estimated contract cost, the fixed fee, or the time required for contract performance; or
  - (4) Change any of the terms, conditions or specifications of the contract
- (c) The TDM shall be written by the Contracting Officer's Representative (COR), with the original given to the Contractor and a copy retained in the CORs file. Technical direction may be issued orally only in emergency situations. If technical direction is issued orally, a TDM must follow within two (2) working days from the date of the oral direction. Amendments, corrections, or changes to TDMs shall also be in written format and shall include all the information set forth in paragraph (e) below.
- (d) A TDM shall be considered issued when the Government deposits it in the mail, or if transmitted by other means, when it is physically delivered to the contractor.

- (e) TDMs shall include, but not be limited to, the following information:
- (1) Date of TDM,
  - (2) Contract Number,
  - (3) Reference to the relevant portion or item in the Statement of Work,
  - (4) The specific technical direction or clarification, and
  - (5) The signature of the COR.
- (f) CORs shall retain all files containing TDMs for a period of two (2) years after the final contract completion date.
- (g) The only individual authorized in any way to amend or modify any of the terms of this contract shall be the Contracting Officer. When, in the opinion of the Contractor, any technical direction calls for effort outside the scope of the contract or inconsistent with this special provision, the Contractor shall notify the Contracting Officer in writing within ten (10) working days after its receipt.

#### **G-4 SUBCONTRACTORS/CONSULTANTS**

- (a) Advance notification or requests for consent pursuant to the contract clause entitled "Subcontracts" (FAR 52.244-2) shall be directed to the cognizant administrative contracting officer (ACO).
- (b) The following subcontractors/consultants have been identified in the Contractor's proposal as necessary for performance of this contract:

Subcontractor/Consultant Name	Estimated Cost
<i>(Paragraph (b) will be included and filled in at time of award if subcontractor/consultants are proposed by the successful offeror)</i>	

#### **G-5 NAPS 5252.232-9001 - SUBMISSION OF INVOICES (COST-REIMBURSEMENT, TIME-AND-MATERIALS, LABOR-HOUR, OR FIXED PRICE INCENTIVE (JUL 1992))**

- (a) "Invoice" as used in this clause includes contractor requests for interim payments using public vouchers (SF 1034) but does not include contractor requests for progress payments under fixed price incentive contracts.
- (b) The Contractor shall submit invoices and any necessary supporting documentation, in an original and 4 copies, to the contract auditor at the following address:

*(To be completed at time of award)*

unless delivery orders are applicable, in which case invoices will be segregated by individual order and submitted to the address specified in the order. In addition, an information copy shall be submitted to [See Section G for designated COR]. Following verification, the contract auditor will forward the invoice to the designated payment office for payment in the amount determined to be owing, in accordance with the applicable payment (and fee) clause(s) of this contract.

- (c) Invoices requesting interim payments shall be submitted no more than once every

two weeks, unless another time period is specified in the Payments clause of this contract. For indefinite delivery type contracts, interim payment invoices shall be submitted no more than once every two weeks for each delivery orders. There shall be a lapse of no more than 30 calendar days between performance and submission of an interim payment invoice.

(d) In addition to the information identified in the Prompt Payment clause herein, each invoice shall contain the following information, as applicable:

- (1) Contract line item number (CLIN)
- (2) Subline item number (SLIN)
- (3) Accounting Classification Reference Number(ACRN)
- (4) Payment terms
- (5) Procuring activity
- (6) Date supplies provided or services performed
- (7) Costs incurred and allowable under the contract
- (8) Vessel (e.g., ship, submarine or other craft) or system for which supply/service is provided

(e) A DD Form 250, "Material Inspection and Receiving Report",

- is required with each invoice submittal.  
 is required only with the final invoice.  
 is not required.

(f) A Certificate of Performance

- shall be provided with each invoice submittal.  
 is not required.

(g) The Contractor's final invoice shall be identified as such, and shall list all other invoices (if any) previously tendered under this contract.

(h) Cost of performance shall be segregated, accumulated and invoiced to the appropriate ACRN categories to the extent possible. When such segregation of costs by ACRN is not possible for invoices submitted with CLIN/SLINS with more than one ACRN, an allocation ratio shall be established in the same ratio as the obligations cited in the accounting data so that costs are allocated on a proportional basis.

#### **G-6 INCREMENTAL FUNDING**

Pursuant to the Limitation of Funds clause (FAR 52.232-22), the total amount allotted to this contract is \$\* and it is estimated that this amount is sufficient for contract performance through \*.

*(\*this provision will be included and completed at time of award, if applicable)*

#### **G-7 INFORMATIONAL SUBLINE ITEMS**

It is anticipated that the research and development services performed under this contract will be paid for from multiple sources of funds. Informational subline items will be established as necessary to identify each accounting citation classification.

**SECTION H  
SPECIAL CONTRACT REQUIREMENTS**

**H-1 TYPE OF CONTRACT**

This is a \*

*(\*To be completed at time of award)*

**H-2 ONR 5252.237-9705 - KEY PERSONNEL (DEC 88)**

(a) The Contractor agrees to assign to the contract tasks those persons whose resumes were submitted with its proposal and who are necessary to fulfill the requirements of the contract as "key personnel". No substitutions may be made except in accordance with this clause.

(b) The Contractor understands that during the first ninety (90) days of the contract performance period, no personnel substitutions will be permitted unless these substitutions are unavoidable because of the incumbent's sudden illness, death or termination of employment. In any of these events, the Contractor shall promptly notify the Contracting Officer and provide the information described in paragraph (c) below. After the initial ninety (90) day period the Contractor must submit to the Contracting Officer all proposed substitutions, in writing, at least fifteen (15) days in advance (thirty (30) days if security clearance must be obtained) of any proposed substitution and provide the information required by paragraph (c) below.

(c) Any request for substitution must include a detailed explanation of the circumstances necessitating the proposed substitution, a resume for the proposed substitute, and any other information requested by the Contracting Officer. Any proposed substitute must have qualifications equal to or superior to the qualifications of the incumbent. The Contracting Officer or his/her authorized representative will evaluate such requests and promptly notify the Contractor of his/her approval or disapproval thereof.

(d) In the event that any of the identified key personnel cease to perform under the contract and the substitute is disapproved, the contract may be immediately terminated in accordance with the Termination clause of the contract.

The following are identified as key personnel:\*

<b>LABOR CATEGORY</b>	<b>NAME OF PERSON</b>
System Supervisor/Programmer	(*To be completed at the time of award)
Senior Programmer	

**H-3 ONR 5252.216-9706 - LEVEL OF EFFORT (DEC 88)**

(a) The Contractor agrees to provide the total level of effort specified in the next sentence in performance of the work described in this contract. The total level of effort for performance of this contract shall be 6,240 total hours for the Base Year and 6,240 total hours per option if exercised of direct labor, including subcontractor direct labor for those subcontractors specifically identified in the Contractor's proposal as having hours included in the proposed level of effort. A breakdown of labor categories and hours is set forth in paragraph (k) below.

(b) The level of effort for this contract shall be expended at an average rate of 520 hours per month. It is understood and agreed that the rate of hours per month may fluctuate in pursuit of the technical objective, provided such fluctuation does not result in the use of the total hours of effort prior to the expiration of the term of the contract.

(c) The Contractor is required to notify the Contracting Officer when any of the following situations occur, or are anticipated to occur: If during any three consecutive months the monthly average is exceeded by 25% or, if at any time it is forecast that during the last three months of the contract less than 50% of the monthly average will be used during any given month; or, when 85% of the total level of effort has been expended.

(d) If, during the term of the contract, the Contractor finds it necessary to accelerate the expenditure of direct labor to such an extent that the total hours of effort specified would be used prior to the expiration of the term, the Contractor shall notify the Contracting Officer in writing, setting forth the acceleration required, the probable benefits which would result, and an offer to undertake the acceleration at no increase in the estimated cost or fixed fee together with an offer setting forth a proposed level of effort, cost breakdown, and proposed fixed fee for continuation of the work until expiration of the term hereof. The offer shall provide that the work proposed will be subject to the terms and conditions of this contract and any additions or changes required by then current law, regulations, or directives, and that the offer, with a written notice of acceptance by the Contracting Officer, shall constitute a binding contract. The Contractor shall not accelerate any effort until receipt of such written approval by the Contracting Officer. Any agreement to accelerate will be formalized by contract modification.

(e) The Contracting Officer may, by written order, direct the Contractor to accelerate the expenditure of direct labor such that the total hours of effort specified in paragraph (a) above would be used prior to the expiration of the term. This order shall specify the acceleration required and the resulting revised term. The Contractor shall acknowledge this order within five days of receipt.

(f) If the total level of effort specified in paragraph (a) above is not provided by the Contractor during the term of this contract, the Contracting Officer shall either (i) reduce the fixed fee of this contract as follows:

$$\text{Fee Reduction} = \text{Fixed Fee} \times \frac{(\text{Required LOE Hours} - \text{Expended LOE Hours})}{\text{Required LOE Hours}}$$

or (ii) subject to the provisions of the clause of this contract entitled "Limitation of Cost," require the Contractor to continue to perform the work until the total number of hours of direct labor specified in paragraph (a) shall have been expended, at no increase in the fixed fee of this contract.

(g) In the event the government fails to fully fund the contract in a timely manner, the term of the contract may be extended accordingly with no change to cost or fee. If the government fails to fully fund the contract, the fee will be adjusted in direct proportion to that effort which was performed.

(h) Notwithstanding any of the provisions in the above paragraphs, the Contractor may furnish hours up to five percent in excess of the total hours specified in paragraph (a) above, provided that the additional effort is furnished within the term hereof, and provided further that no increase in the estimated cost or fixed fee is required, and no adjustment in the fixed fee shall be made provided that the Contractor has delivered at least 95% of the level of effort required in paragraph (a) above.

(i) It is understood that the mix of labor categories provided by the Contractor under the contract, as well as the distribution of effort among those categories, may vary considerably from the initial mix and distribution of effort which was estimated by the government or proposed by the Contractor.

(j) Nothing herein shall be construed to alter or waive any of the rights or obligations of either party pursuant to the Clause entitled "Limitation of Costs" or "Limitation of Funds," either of which clauses as incorporated herein applies to this contract.

(k) The anticipated breakdown by labor category of the total level of effort is as follows:

<u>Labor Category</u>	<u>Hours</u>
<b>BASIC Award and each option, if exercised</b>	
System Supervisor/Programmer	2080/year
Senior Analyst	2080/year
Senior Programmer	2080/year

#### **H-4 ONR 5252.235-9714 - REPORT PREPARATION (FEB 97)**

Scientific or technical reports prepared by the Contractor and deliverable under the terms of this contract will be prepared in accordance with format requirements contained in ANSI/NISO Z39.18-1995, "Scientific and Technical Reports: Elements, Organization, and Design." [NOTE: ANSI Z39.18 may be obtained from NISO Press Fulfillment Center, P. O. Box 338, Oxon Hill, MD. 20750-0338. Telephone 1-800-282-6476]

#### **H-5 ELECTRONIC AND INFORMATION TECHNOLOGY (EIT)**

In accordance with Section 508 of the Rehabilitation Act of 1973 (29 U.S.C. 794d), all EIT supplies and services provided under this contract must comply with the applicable accessibility standards issued by the Architectural and Transportation Barriers

Compliance Board at 36 CFR part 1194 (see FAR Subpart 39.2). Electronic and information technology (EIT) is defined at FAR 2.101.

#### **H-6 OPTION TO EXTEND THE TERM OF THE CONTRACT**

This contract shall be renewable at the unilateral option of the Government by the Contracting Officer giving written notice of renewal to the Contractor within the existing term of the contract. The Government may exercise its option to renew the contract a total of times and each such renewal shall extend the term of the contract by twelve (12) months. The Contractor agrees that performance under each such renewal shall be **accomplished in accordance with all of the terms and conditions of this contract and** at the estimated cost and fixed fee set forth below:

##### **First Option**

Estimated Cost:	\$
Fixed Fee:	\$
Estimated Cost Plus Fixed Fee:	\$

##### **Second Option**

Estimated Cost:	\$
Fixed Fee:	\$
Estimated Cost Plus Fixed Fee:	\$

##### **Third Option**

Estimated Cost:	\$
Fixed Fee:	\$
Estimated Cost Plus Fixed Fee:	\$

##### **Fourth Option**

Estimated Cost:	\$
Fixed Fee:	\$
Estimated Cost Plus Fixed Fee:	\$

**H-7 ON-SITE USE OF GOVERNMENT PROPERTY**

Government facilities and equipment specified or approved for use in the performance of any portion of the contract at the U.S. Naval Research Laboratory (NRL) or any of its field sites may be used by the contractor's personnel on a rent free basis. All such property shall be considered to remain in the possession and control of the NRL for property responsibility and accountability purposes.

**H-8 REPRESENTATIONS AND CERTIFICATIONS**

The Contractor's completed Representations, Certifications, and Other Statements of Offerors or Respondents is incorporated herein by reference in any resultant award.

**H-9 SUBCONTRACTING PLAN**

The contractor's Comprehensive Small Business Subcontracting Plan is incorporated into this contract in accordance with DFARS SUBPART 219.7 *Test Program for Negotiation of Comprehensive Small Business Subcontracting Plans*.

**PART II - CONTRACT CLAUSES  
SECTION I  
CONTRACT CLAUSES**

**I-1 52.252-2 - CLAUSES INCORPORATED BY REFERENCE (FEB 1998)**

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available.

Also, the full text of a clause may be accessed electronically at this/these address(es):

<http://www.arnet.gov/far>  
<http://heron.nrl.navy.mil/contracts/home.htm>

**a. FEDERAL ACQUISITION REGULATION CLAUSES**

<b>FAR CLAUSE</b>	<b>TITLE</b>
52.202-1	- Definitions (OCT 1995)
52.203-3	- Gratuities (APR 1984)
52.203-5	- Covenant Against Contingent Fees (APR 1984)
52.203-6	- Restrictions On Subcontractor Sales To The Government (JUL 1995)
52.203-7	- Anti-Kickback Procedures (JUL 1995)
52-203-8	- Cancellation, Rescission, And Recovery Of Funds For Illegal Or Improper Activity (JAN 1997)
52.203-10	- Price Or Fee Adjustment For Illegal Or Improper Activity (JAN 1997)
52.203-12	- Limitation On Payments To Influence Certain Federal Transactions (JUN 1997)

- 52.204-2 - Security Requirements (AUG 1996)
- 52.204-4 - Printed Or Copied Double-Sided On Recycled Paper (AUG 2000)
- 52.209-6 - Protecting The Government's Interest When Subcontracting With Contractors Debarred, Suspended, Or Proposed For Debarment (JUL 1995)
- 52.211-15 - Defense Priority And Allocation Requirements (SEP 1990)
- 52.215-2 - Audit And Records-Negotiation (JUNE 1999)
- 52.215-8 - Order Of Precedence - Uniform Contract Format (OCT 1997)
- 52.215-11 - Price Reduction For Defective Cost Or Pricing Data - Modifications (OCT 1997)
- 52.215-13 - Subcontractor Cost Or Pricing Data Modifications (OCT 1997)
- 52.215-14 - Integrity Of Unit Prices (OCT 1997)
- 52.215-15 - Pension Adjustments And Asset Reversions (DEC 1998)
- 52.215-17 - Waiver Of Facilities Capital Cost Of Money (OCT 1997)  
*(will be included if the successful offeror does not propose facilities capital cost of money)*
- 52.215-18 - Reversion Or Adjustment Of Plans For Post-Retirement Benefits (PRB) Other Than Pensions (OCT 1997)
- 52.215-19 - Notification of Ownership Changes (OCT 1997)
- 52.215-21 - Requirements For Cost Or Pricing Data Or Information Other Than Cost or Pricing Data -Modifications (OCT 1997)
- 52.216-7 - Allowable Cost And Payment (MAR 2000)
- 52.216-8 - Fixed-Fee (MAR 1997)
- 52.219-4 - Notice Of Price Evaluation Preference For HUBZone Small Business Concerns (JAN 1999)  Offeror elects to waive the evaluation preference.
- 52.219-8 - Utilization Of Small Business Concerns (OCT 2000)
- 52.219-9 - Small Business Subcontracting Plan (OCT 2000) - Alternate II (JAN 1999)
- 52.219-16 - Liquidated Damages-Subcontracting Plan (JAN 1999)
- 52.219-25 - Small Disadvantaged Business Participation Program-Disadvantaged Status And Reporting (OCT 1999)
- 52.222-1 - Notice To The Government Of Labor Disputes (FEB 1997)
- 52.222-2 - Payment For Overtime Premiums (JUL 1990) -The Use Of Overtime Is Authorized Under This Contract If The Overtime Premium Does Not Exceed "0"
- 52.222-3 - Convict Labor (AUG 1996)
- 52.222-21 - Prohibition of Segregated Facilities (FEB 1999)
- 52.222-26 - Equal Opportunity (FEB 1999)
- 52.222-35 - Affirmative Action For Disabled Veterans And Veterans Of The Vietnam Era (APR 1998)
- 52.222-36 - Affirmative Action For Workers With Disabilities (JUN 1998)
- 52.222-37 - Employment Reports On Disabled Veterans And Veterans Of The Vietnam Era (APR 1998)

- 52.223-3 - Hazardous Material Identification And Material Safety Data (JAN 1997)
- 52.223-5 - Pollution Prevention And Right-To-Know Information (APR 1998)
- 52.223-6 - Drug-Free Workplace (JAN 1997)
- 52.223-10 - Waste Reduction Program (AUG 2000)
- 52.223-14 - Toxic Chemical Release Reporting (OCT 2000)
- 52.225-13 - Restrictions On Certain Foreign Purchases (JUL 2000)
- 52.226-1 - Utilization Of Indian Organizations And Indian-Owned Economic Enterprises (JUN 2000)
  
- 52.227-1 - Authorization And Consent (JUL 1995)- Alternate I (APR 1984)
- 52.227-2 - Notice And Assistance Regarding Patent And Copyright Infringement (AUG 1996)
  
- 52.227-10 - Filing Of Patent Application- Classified Subject Matter (APR 1984)
- 52.227-11 - Patent Rights - Retention By The Contractor (Short Form) (JUN 1997)  
*(will be included if the successful offeror is a small business or a non-profit organization)*
- 52.227-12 - Patent Rights - Retention By The Contractor (Long Form) (JAN 1997)  
*(will be included if the successful offeror is not a small business or a non-profit organization)*
  
- 52.228-7 - Insurance - Liability To Third Persons (MAR 1996)
- 52.230-2 - Cost Accounting Standards (APR 1998)
- 52.230-3 - Disclosure And Consistency Of Cost Accounting Practices (APR 1998)
- 52.230-6 - Administration Of Cost Accounting Standards (NOV 1999)
- 52.232-9 - Limitation On Withholding Of Payments (APR 1984)
- 52.232-17 - Interest (JUN 1996)
- 52.232-18 - Availability Of Funds (APR 1984)
- 52.232-20 - Limitation Of Cost (APR 1984) *(Applicable when the contract or task order is fully funded)*
- 52.232-22 - Limitation Of Funds (APR 1984) *(Applicable when the contract or task order is not fully funded)*
  
- 52.232-23 - Assignment Of Claims (JAN 1986) Alternate I (APR 1984)
- 52.232-25 - Prompt Payment (JUN 1997)
- 52.232-33 - Payment By Electronic Funds Transfer-Central Contractor Registration (MAY 1999)
  
- 52.233-1 - Disputes (DEC 1998)
- 52.233-3 - Protest After Award (AUG 1996) - Alternate I (JUN 1985)
- 52.237-2 - Protection Of Government Buildings, Equipment And Vegetation (APR 1984)
  
- 52.237-10 - Identification of Uncompensated Overtime (OCT 1997)
- 52.242-1 - Notice Of Intent To Disallow Costs (APR 1984)
- 52.242-3 - Penalties For Unallowable Costs (OCT 1995)
- 52.242-13 - Bankruptcy (JUL 1995)
- 52.243-2 - Changes - Cost-Reimbursement (AUG 1987) - Alternate V (APR 1984)
- 52.243-6 - Change Order Accounting (APR 1984)
- 52.243-7 - Notification Of Changes (APR 1984) fill in 30

- 52.244-2 - Subcontracts (AUG 1998) - Alternate I (AUG 1998)
- 52.244-5 - Competition In Subcontracting (DEC 1996)
- 52.244-6 - Subcontracts For Commercial Items And Commercial Components (OCT 1998)
- 52.245-5 - Government Property (Cost-Reimbursement, Time-And-Material, Or Labor-Hour Contracts) (JAN 1986) (DEVIATION)
- 52.246-23 - Limitation Of Liability (FEB 1997)
- 52.246-25 - Limitation Of Liability - Services (FEB 1997)
- 52.247-1 - Commercial Bill Of Lading Notations (APR 1984)
- 52.247-63 - Preference For U. S. Flag Carriers (JAN 1997)
- 52.249-6 - Termination (Cost-Reimbursement) (SEP 1996)
- 52.249-14 - Excusable Delays (APR 1984)
- 52.251-1 - Government Supply Sources (APR 1984)
- 52.252-6 - Authorized Deviations in Clauses (APR 1984)(fill in Defense Federal Acquisition Regulation Supplement (48 CFR Chapter 2))
- 52.253-1 - Computer Generated Forms (JAN 1991)

**b. DEPARTMENT OF DEFENSE FEDERAL ACQUISITION REGULATION CLAUSES**

<b>DFARS CLAUSE</b>	<b>TITLE</b>
252.201-7000	- Contracting Officer's Representative (DEC 1991)
252.203-7001	- Prohibition On Persons Convicted Of Fraud Or Other Defense Contract Related Felonies (MAR 1999)
252.204-7000	- Disclosure Of Information (DEC 1991)
252.204-7003	- Control Of Government Personnel Work Product (APR 1992)
252.204-7004	- Required Central Contractor Registration (MAR 2000)
252.204-7005	- Oral Attestation Of Security Responsibilities (AUG 1999)
252.205-7000	- Provision Of Information To Cooperative Agreement Holders (DEC 1991)
252.209-7000	- Acquisition From Subcontractors Subject To On-Site Inspection Under The Intermediate-Range Nuclear Forces (INF) Treaty (NOV 1995)
252.209-7004	- Subcontracting With Firms That Are Owned Or Controlled By The Government Of A Terrorist Country (MAR 1998)
252.215-7000	- Pricing Adjustments (DEC 1991)
252.219-7003	- Small Business And Small Disadvantaged Business Subcontracting Plan (DoD Contracts) (APR 1996)
252.223-7004	- Drug-Free Work Force (SEP 1988)
252.223-7006	- Prohibition On Storage And Disposal Of Toxic And Hazardous Materials (APR 1993)
252.225-7012	- Preference For Certain Domestic Commodities (AUG 2000)
252.225-7026	- Reporting Of Contract Performance Outside The United States (JUN 2000)

- 252.225-7031 - Secondary Arab Boycott Of Israel (JUN 1992)
- 252.225-7043 - Antiterrorism/Force Protection Policy For Defense Contractors Outside The United States (JUN 1998) (fill in : Naval Criminal Investigative Service (NCIS), Code 24, telephone, DSN 228-9113 or commercial (202)433-9113)
- 252.227-7000 - Non Estoppel (OCT 1966)
- 252.227-7001 - Release Of Past Infringement (AUG 1984)
- 252.227-7013 - Rights In Technical Data -- Noncommercial Items (NOV 1995)
- 252.227-7016 - Rights In Bid Or Proposal Information (JUN 1995)
- 252.227-7030 - Technical Data--Withholding Of Payment (MAR 2000)
- 252.227-7034 - Patents--Subcontracts (APR 1984)
- 252.227-7036 - Declaration Of Technical Data Conformity (JAN 1997)
- 252.227-7037 - Validation Of Restrictive Markings On Technical Data (SEP 1999)
- 252.227-7039 - Patents--Reporting Of Subject Inventions (APR 1990)
- 252.231-7000 - Supplemental Cost Principles (DEC 1991)
- 252.235-7010 - Acknowledgment Of Support And Disclaimer (MAY 1995)
- 252.235-7011 - Final Scientific Or Technical Report (SEP 1999)
- 252.242-7000 - Post Award Conference (DEC 1991)
- 252.242-7004 - Material Management And Accounting System (DEC 2000)
- 252.243-7002 - Requests For Equitable Adjustment (MAR 1998)
- 252.244-7000 - Subcontracts For Commercial Items And Commercial Components (DOD Contracts) (MAR 2000)
- 252.245-7001 - Reports Of Government Property (MAY 1994)
- 252.246-7001 - Warranty Of Data (DEC 1991)
- 252.247-7023 - Transportation Of Supplies By Sea (MAR 2000)
- 252.247-7024 - Notification Of Transportation Of Supplies By Sea (MAR 2000)  
*(will be included if the successful offeror made a negative response to the inquiry at DFARS 252.247-7022)*
- 252.251-7000 - Ordering From Government Supply Sources (MAY 1995)

## I-2 FAR 52.223-11 - OZONE-DEPLETING SUBSTANCES (JUN 1996)

### (a) Definitions.

"Ozone-depleting substance", as used in this clause, means any substance designated as Class I by the Environmental Protection Agency (EPA) (40 CFR Part 82), including but not limited to chlorofluorocarbons, halons, carbon tetrachloride, and methyl chloroform; or any substance designated as Class II by EPA (40 CFR Part 82), including but not limited to hydrochlorofluorocarbons.

(b) The Contractor shall label products which contain or are manufactured with ozone-depleting substances in the manner and to the extent required by 42 U.S.C. 7671j (b), (c), and (d) and 40 CFR Part 82, Subpart E, as follows:

"WARNING: Contains (or manufactured with, if applicable) \_\_\_\_\_, a substance(s) which harm(s) public health and environment by destroying ozone in the upper atmosphere."

\* The Contractor shall insert the name of the substance(s).

**PART III - LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS**  
**SECTION J**  
**LIST OF ATTACHMENTS**

- J-1** Attachment (1) - Statement Of Work - 4 Pages, With Exhibit A - DD Form 1423, Contract Data Requirements List, 6 Pages.
- J-2** Attachment (2) - DD Form 254, Contract Security Classification Specification, Ser: 009-01, Dated 20010312, 2 Pages.
- J-3** Attachment (3) – Personnel Qualifications, 3 Pages.
- J-4** Attachment (4) – Accounting and Appropriation Data- 1 page. \*

*(\* To be included at time of award)*

**PART IV - REPRESENTATIONS AND INSTRUCTIONS**  
**SECTION - K**  
**REPRESENTATIONS, CERTIFICATIONS**  
**AND OTHER STATEMENTS OF OFFERORS OR RESPONDENTS**

**K-1 Representations, Certifications, and Other Statements of Offerors or Respondents**

Each Offeror must submit a completed Representations, Certifications, and Other Statements Of Offerors or Respondents with its proposal which is available electronically in full text at <http://heron.nrl.navy.mil/contracts/rep&certs.htm>

**K-2 FILL IN FOR FAR 52.219-1 - SMALL BUSINESS PROGRAM REPRESENTATIONS (OCT 2000)**

The fill in information is as follows:

The NAICS code for this acquisition is 541330.

The small business size standard is \$20,000,000.

**SECTION L**  
**INSTRUCTIONS CONDITIONS AND NOTICES**  
**TO OFFERORS OR RESPONDENTS**

**L-1 52.252-1 SOLICITATION PROVISIONS INCORPORATED BY REFERENCE**  
**(FEB 1998)**

This solicitation incorporates one or more solicitation provisions by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. The offeror is cautioned that the listed provisions may include blocks that must be completed by the offeror and submitted with its quotation or offer. In lieu of submitting the full text of those provisions, the offeror may identify the provision by paragraph identifier and provide the appropriate information with its quotation or offer. Also, the full text of a solicitation provision may be accessed electronically at this/these address(es):

<http://www.arnet.gov/far>  
<http://heron.nrl.navy.mil/contracts/home.htm>

<b>FAR CLAUSE</b>	<b>TITLE</b>
52.204-6	- Data Universal Numbering System (DUNS) Number (JUNE 1999)
52.214-34	- Submission Of Offers In The English Language (APR 1991)
52.214-35	- Submission Of Offers In U.S. Currency (APR 1991)
52.215-1	- Instructions To Offerors- Competitive Acquisition (FEB 2000)
52.215-16	- Facilities Capital Cost Of Money (OCT 1997)
52.219-24	- Small Disadvantaged Business Participation Program - Targets (OCT 2000)
52.252-5	- Authorized Deviations In Provisions (APR 1984)

**L-2 FAR 52.211-14 - NOTICE OF PRIORITY RATING FOR NATIONAL DEFENSE**  
**USE (SEP 1990)**

Any contract awarded as a result of this solicitation will be a  DX rated order;  DO rated order certified for national use under the Defense Priorities and Allocations system (DPAS) (15 CFR 700), and the Contractor will be required to follow all of the requirements of this regulation.

**L-3 FAR 52.215-20 REQUIREMENTS FOR COST OR PRICING DATA OR**  
**INFORMATION OTHER THAN COST OR PRICING DATA (OCT**  
**1997)ALTERNATE IV (OCT 1997)**

- (a) Submission of cost or pricing data is not required.
- (b) Provide information described below

**L-4 FAR 52.216-1 - TYPE OF CONTRACT (APR 1984)**

The Government contemplates award of a Cost Plus Fixed Fee Term contract resulting from this solicitation.

**L-5 FAR 52.233-2 - SERVICE OF PROTEST (AUG 1996)**

(a) Protests, as defined in Section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the General Accounting Office (GAO) shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgment of receipt from the Control Desk, Code 3200, Bldg. 222, Rm. 115, Naval Research Laboratory, 4555 Overlook Ave., S.W., Washington DC 20375-5326.

(b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.

**L-6 DFARS 252.227-7017 - IDENTIFICATION AND ASSERTION OF USE, RELEASE, OR DISCLOSURE RESTRICTIONS (JUN 1995)**

(a) The terms used in this provision are defined in following clause or clauses contained in this solicitation--

(1) If a successful offeror will be required to deliver technical data, the Rights in Technical Data--Noncommercial Items clause, or, if this solicitation contemplates a contract under the Small Business Innovative Research Program, the Rights in Noncommercial Technical Data and Computer Software--Small Business Innovative Research (SBIR) Program clause.

(2) If a successful offeror will not be required to deliver technical data, the Rights in Noncommercial Computer Software and Noncommercial Computer Software Documentation clause, or, if this solicitation contemplates a contract under the Small Business Innovative Research Program, the Rights in Noncommercial Technical Data and Computer Software--Small Business Innovative Research (SBIR) Program clause.

(b) The identification and assertion requirements in this provision apply only to technical data, including computer software documents, or computer software to be delivered with other than unlimited rights. For contracts to be awarded under the Small Business Innovative Research Program, the notification requirements do not apply to technical data or computer software that will be generated under the resulting contract. Notification and identification is not required for restrictions based solely on copyright.

(c) Offers submitted in response to this solicitation shall identify, to the extent known at the time an offer is submitted to the Government, the technical data or computer software that the Offeror, its subcontractors or suppliers, or potential subcontractors or suppliers, assert should be furnished to the Government with restrictions on use,

release, or disclosure.

- (d) The Offeror's assertions, including the assertions of its subcontractors or suppliers or potential subcontractors or suppliers shall be submitted as an attachment to its offer in the following format, dated and signed by an official authorized to contractually obligate the Offeror:

Identification and Assertion of Restrictions on the Government's Use, Release, or Disclosure of Technical Data or Computer Software.

The Offeror asserts for itself, or the persons identified below, that the Government's rights to use, release, or disclose the following technical data or computer software should be restricted:

Technical Data or Computer Software of Person to be Furnished	Basis for Asserting Assertion** Restrictions****	Asserted Rights Category***	Name
With Restrictions*	(LIST)***** (LIST)	(LIST)	

\* For technical data (other than computer software documentation) pertaining to items, components, or processes developed at private expense, identify both the deliverable technical data and each such items, component, or process. For computer software or computer software documentation identify the software or documentation.

\*\* Generally, development at private expense, either exclusively or partially, is the only basis for asserting restrictions. For technical data, other than computer software documentation, development refers to development of the item, component, or process to which the data pertain. The Government's rights in computer software documentation generally may not be restricted. For computer software, development refers to the software. Indicate whether development was accomplished exclusively or partially at private expense. If development was not accomplished at private expense, or for computer software documentation, enter the specific basis for asserting restrictions.

\*\*\* Enter asserted rights category (e.g., government purpose license rights from a prior contract, rights in SBIR data generated under another contract, limited, restricted, or government purpose rights under this or a prior contract, or specially negotiated licenses).

\*\*\*\* Corporation, individual, or other person, as appropriate.

\*\*\*\*\* Enter "none" when all data or software will be submitted without restrictions.

Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Signature \_\_\_\_\_

(End of identification and assertion)

- (e) An offeror's failure to submit, complete, or sign the notification and identification required by paragraph (d) of this provision with its offer may render the offer ineligible for award.
- (f) If the Offeror is awarded a contract, the assertions identified in paragraph (d) of this provision shall be listed in an attachment to that contract. Upon request by the Contracting Officer, the Offeror shall provide sufficient information to enable the Contracting Officer to evaluate any listed assertion.

**L-7 DFARS 252.227-7028 - TECHNICAL DATA OR COMPUTER SOFTWARE PREVIOUSLY DELIVERED TO THE GOVERNMENT (JUN 1995)**

The Offeror shall attach to its offer an identification of all documents or other media incorporating technical data or computer software it intends to deliver under this contract with other than unlimited rights that are identical or substantially similar to documents or other media that the Offeror has produced for, delivered to, or is obligated to deliver to the Government under any contract or subcontract. The attachment shall identify - -

- (a) The contract number under which the data or software were produced;
- (b) The contract number under which, and the name and address of the organization to whom, the data or software were most recently delivered or will be delivered; and
- (c) Any limitations on the Government's rights to use or disclose the data or software, including, when applicable, identification of the earliest date the limitations expire.

**L-8 GOVERNMENT-FURNISHED PROPERTY**

No material, labor, or facilities will be furnished by the Government unless provided for in the solicitation.

**L-9 INQUIRIES CONCERNING THE RFP**

Any questions concerning the RFP must be submitted in writing to the Contracting Officer at the location noted in blocks 7 and 9 of the Standard Form 33, "Solicitation, Offer and Award," no less than fifteen (15) days before closing. The Government will not consider questions received after this date. Offerors are cautioned against directing any questions concerning this RFP to technical personnel at the Naval Research Laboratory.

**L-10 INSTRUCTIONS FOR SUBMISSION AND INFORMATION REQUIRED TO EVALUATE PROPOSALS**

(1) Information for the technical/management proposal shall be placed in Volume I and be completely separate from the business proposal (Volume II).

(2) Proposal Identification/Mailing - The proposal should be packaged for delivery so as to permit safe and timely arrival at destination. The proposal package should be sent to the address shown in Block 7 of the RFP face page and marked:

**Solicitation No. N00173-00-R-DJ01**

**Closing Date: (As specified in Block 9, RFP face page)**

**Attn: Code 3220.DJ**

(3) Proposal Format and Length - No attempt is made to restrict the proposal format and style. However, the proposal should be written and organized so as to be compatible with the RFP, the Statement of Work, company's organization and accounting structure, and proposed cost estimate. Offerors are encouraged to use recycled paper and maximize the use of double sided copying when preparing responses to solicitations.

**L-11 VOLUME I - TECHNICAL/MANAGEMENT PROPOSAL**

REQUIRED COPIES: 1 ORIGINAL AND 4 COPIES .

Offerors must propose in accordance with H-3 Level of Effort, Paragraph (k).

**A. PERSONNEL QUALIFICATIONS**

The offeror must provide resumes of proposed personnel. The resume must reflect experience and qualifications of the proposed personnel relevant to the proposed task; the quantity and quality of the Offeror's corporate experience relevant to the proposed task; and previous experience of the personnel including the degree to which they meet the requirements set forth in the Personnel Qualifications.

**B. TECHNICAL UNDERSTANDING**

Provide documentation to support the understanding of each of the technical requirements (task areas) in the SOW. Provide documentation to reveal knowledge of project monitoring and control, achievement of task assignments, on-time completion, quality assurance, resource management, and responsiveness to workload fluctuation in support of multiple tasks.

### C. MANAGEMENT PLAN

The Offeror shall provide documentation to show corporate ability to simultaneously coordinate numerous interrelated technical and management support tasks of similar size and complexity. The offeror shall provide documentation of experience involving similar research, set forth in the SOW and establishing internal systems for effective continuity, quality assurance, and contract completion.

### L-12 VOLUME II - BUSINESS PROPOSAL

REQUIRED COPIES: 1 ORIGINAL AND 4 COPIES

#### (1) COST PROPOSAL

The offeror shall submit a business proposal that includes a cost proposal with supporting information for each cost element consistent with offeror's cost accounting system. The supporting breakdown should include such elements as materials, direct labor, indirect cost, and other costs such as travel. The offeror shall provide exhibits as necessary to substantiate each cost element. Should rates be used in the proposal which are not DCAA approved, the offeror shall provide complete documentation and the rationale for their use at time of proposal submission. However, offerors are advised to use actual labor rates of proposed personnel as the basis for estimating labor costs when practicable.

#### (2) SMALL BUSINESS PARTICIPATION

(a) In addition to complying with the clause at FAR 52.219-9, Small Business Subcontracting Plan (Jan 1999) with its Alternate II, proposals must include information to permit evaluation of the extent of participation of small businesses and historical black colleges or universities and minority institutions in performance of the contract. Participation to be identified may be in the form of a joint venture, teaming arrangement, or subcontract. Small business concerns that are not required by FAR 52.219-9 to submit a subcontracting plan must indicate the extent to which proposed joint ventures, teaming arrangements, or subcontracts are with historically black colleges or universities and minority institutions. Information provided should include the extent of participation of such firms in terms of the value of the total acquisition and the complexity and variety of the work such firms are to perform.

(b) Proposals must also include information to permit evaluation of the extent of participation of small disadvantaged business concerns in performance of the contract. See the provision at FAR 52.219-24, Small Disadvantaged Business Participation Program--Targets (Jan 1999), and the clause at 52.219-25, Small Disadvantaged Business Participation Program--Disadvantaged Status and Reporting (Jan 1999). Any targets will be incorporated into and become part of any resulting contract. Information

provided should include the extent of participation of such firms in terms of the value of the total acquisition and the complexity and variety of the work such firms are to perform.

**L-13 MATERIAL ESTIMATE (FOR EVALUATION PURPOSES ONLY)**

The material estimate and other direct costs set forth MUST be included in each offeror's cost proposal for evaluation purposes only. During the term of the contract, the contractor will be reimbursed actual and verifiable material and other direct associated expenses.

The Government estimates the material cost for this effort as follows:

Basic:	\$50,000
Option I (if exercised):	\$50,000
Option II: (if exercised)	\$50,000
Option III: (if exercised)	\$50,000
Option IV: (if exercised)	\$50,000

**L-14 TRAVEL ESTIMATE (FOR EVALUATION PURPOSES ONLY)**

The travel estimate and other direct costs set forth MUST be included in each offeror's cost proposal for evaluation purposes only. During the term of the contract, the contractor will be reimbursed actual and verifiable travel and other direct associated expenses in accordance with federal travel guidelines.

The Government estimates the travel cost for this effort as follows:

Basic:	\$50,000
Option I (if exercised):	\$50,000
Option II: (if exercised)	\$50,000
Option III: (if exercised)	\$50,000
Option IV: (if exercised)	\$50,000

**SECTION M  
EVALUATION FACTORS FOR AWARD**

**M-1 EVALUATION**

Award will be made to that offeror whose proposal is determined to be the best value to the Government, proposed cost and other factors considered. The Government reserves the right to make award to other than the low offeror. Although technical considerations are more important than the cost factor, the closer the technical scores of the various proposals are to one another, the more important the business considerations become.

**M-2 EVALUATION FACTORS FOR AWARD**

Proposals will be evaluated in accordance with the following criteria. The technical factor is more important than the cost factor. The Personnel Qualification factor is of more importance than Technical Understanding and Management Plan. Technical Understanding is of more importance than Management Plan.

**M-2-1 TECHNICAL/MANAGEMENT****(1) PERSONNEL QUALIFICATIONS**

The proposed personnel will be evaluated on the experience and qualifications of the proposed personnel relevant to the proposed task.; the quantity and quality of the Offeror's corporate experience relevant to the proposed task; and the previous experience of the key personnel including the degree to which they meet the requirements set forth in the Personnel Qualifications.

**(2) TECHNICAL UNDERSTANDING**

Evaluation will be based on the demonstrated overall soundness, ability to understand, and accomplish the technical requirements in the Statement of Work.

**(3) MANAGEMENT PLAN**

Evaluation will be based on the extent of corporate experience in performing accomplishing, and managing project related specifically to task in the Statement of Work. The soundness of the Offeror's project management approach for accomplishing the tasks in the Statement of Work.

**M-2-2 COST TO THE GOVERNMENT**

Proposed estimated cost to the Government. The Government may adjust the proposed cost for purposes of evaluation based upon an evaluation of cost realism. Cost Realism means that the costs in an offeror's proposal are realistic for the work to be performed; reflect a clear understanding of the requirements; and are consistent with the various elements of the offeror's technical proposal. The cost realism evaluation includes an analysis of the adequacy of the hours, labor mix, and other direct costs to perform the work as proposed in the technical proposal as well as the proposed labor and indirect rates. It also includes evaluation of the likelihood that the risks inherent in the offeror's technical approach will result in higher actual costs than anticipated.

**M-2-3 SMALL BUSINESS PARTICIPATION**

(a) The extent of participation of small businesses and historically black colleges or universities and minority institutions in performance of the contract will be evaluated on the basis of the proposed extent of participation of such firms in terms of the value of the total acquisition and the complexity and variety of the work such firms are to perform.

(b) The extent of participation of small disadvantaged business concerns in performance of the contract will be evaluated on the basis of the proposed extent of participation of such firms in terms of the value of the total acquisition and the complexity and variety of the work such firms are to perform.

**M-3 FAR 52.217-5 - EVALUATION OF OPTIONS (JUL 1990)**

Except when it is determined in accordance with FAR 17.206(b) not to be in the Government's best interests, the Government will evaluate offers for award purposes by adding the total price for all options to the total price for the basic requirement. Evaluation of options will not obligate the Government to exercise the option(s).

## STATEMENT OF WORK ESM Engineering and Support Services

### 1.0 INTRODUCTION

This Statement of Work (SOW) describes the engineering and technical support services to be provided to the Tactical Electronic Warfare Division (TEWD) of the Naval Research Laboratory (NRL), Washington, D.C.

### 2.0 BACKGROUND

The current and projected emitter environment has focused research emphasis on advanced Electronic Warfare Support (ES) systems that can simultaneously detect and process a large number of emitters. Components or systems that will utilize various architectures capable of coping with increasingly dense emitter environments, and with emitters utilizing unusual and varied forms of RF modulation will be developed in support of ES systems development. Areas of new development and investigation include digital signal processing; custom software development, integration, and testing; data collection using this software; advanced algorithm development using artificial intelligence technology and automatic analysis techniques; and software engineering for control, interface, and algorithm integration. Some development tasks may require extended overseas or on-site work in direct support of ongoing Fleet operations. These operations include contraband interdiction, counter-drug, embargo enforcement, and the tracking of illegal transfer of weapons of mass destruction. The sites include various Naval platforms of air, surface, and subsurface types as well as fixed choke points overseas and national sensor sites.

### 3.0 SCOPE

The required services include a number of interrelated tasks that vary in both their technical dimensions and deliverable product. A high degree of inter-task coordination is a requirement of this specification. These tasks include software engineering, systems analysis (hardware and software), and data collection and analysis. The uniqueness of data availability, availability of necessary processing equipment, and security requirements dictates that a major portion of the tasks will be performed at NRL-DC; however as indicated above some portion may be performed at overseas sites, CONUS sites other than NRL-DC, and on board Naval platforms and installations. The contractor shall perform the following tasks:

#### 3.1 TASKS:

3.1.1 TASK I Technical management, custom data correlation and analysis software development, software integration, and testing with advanced ES systems.

3.1.2 TASK II Advanced processing algorithm development for advanced ES systems using artificial intelligence technology and automatic analysis techniques.

3.1.3 TASK III Software engineering for control, interface, and algorithm integration into advanced ES systems.

3.2 All work, travel and material purchases associated with these tasks are subject to prior written Contracting Officer Representative (COR) approval. Work under the tasks shall be proposed in writing for COR approval showing estimated time to complete as well as estimated labor and material costs. Prior to any travel, contractor shall submit a travel plan showing itinerary, estimated travel costs and estimated material purchase costs.

#### 4.0 DEFINITIONS:

Electronic Warfare (EW): Any military action involving the use of electromagnetic and directed energy to control the electromagnetic spectrum or to attack the enemy. The three major subdivisions within electronic warfare are electronic attack, electronic protection and electronic warfare support.

Electronic Warfare Support: That division of electronic warfare involving actions tasked by, or under direct control of, an operational commander to search for, intercept, identify and locate sources of intentional and unintentional radiated electromagnetic energy for the purpose of immediate threat recognition. Thus, electronic warfare support provides information required for immediate decisions involving electronic warfare operations, threat avoidance, targeting, and other tactical actions. Also called Electronic Support (ES).

Electronic Warfare Support Measures: That division of electronic warfare involving actions taken under direct control of an operational commander to search for, intercept, identify and locate sources of radiated electromagnetic energy for the purpose of immediate threat recognition. Thus, electronic warfare support measures provide a source of information required for immediate decisions involving electronic countermeasures (ECM), electronic counter-countermeasures (ECCM), avoidance, targeting and other tactical employment of forces. Also called ESM.

RF: Radio Frequency. In this context, RF is loosely defined as electromagnetic energy having a frequency anywhere from 400 MHz up through 110 GHz.

IF: Intermediate Frequency. In this context, IF is loosely defined as electromagnetic energy having a frequency anywhere from 20 MHz up

through 2 GHz.

Emitter: Source of RF emissions. In the context of this document, an emitter is typically a radar system.

SEI: Specific Emitter Identification – technology developed by NRL that is capable of identifying individual radar emitters. The AN/UYX-3 is the NRL developed SEI processor.

Software: Definition encompasses traditional software running on general purpose and digital signal processors as well as programming for programmable logic such as FPGAs, PALs, etc.

Monthly Reporting Period: 1<sup>st</sup> of month to last day of month.

Major Subtask: Any task requiring a total of greater than 400 man-hours or any task designated by the COR as requiring reporting.

COR: Contracting Officer's Representative

## 5.0 WORK REQUIREMENTS

### 5.1 TECHNICAL REQUIREMENTS

#### 5.1.1 TASK I - Technical management, custom data correlation and analysis software

This task includes four subtasks: 1) field support activity in the collection and analysis of data generated by currently deployed or advanced ES systems, 2) on-the-spot software modification and debugging of advanced automatic software used in advanced ES systems during naval operational support activities, 3) overall management of other tasks associated with this SOW, 4) overall software systems design and integration for advanced ES system software within subsystems plus GUI. This includes current and future relational joint DoD global database integration into the advanced collection and analysis software.

All work performed under this task shall be fully documented. A required deliverable for this task will be a detailed document set describing the work performed. Document set to include, but not limited to, software design documentation, and performance analysis reports. Additional deliverables for this task will be technical reports, trip reports, monthly progress reports, and monthly fiscal reports.

Estimated level of effort per year for this task will be twelve (12) man-months of system supervisor/programmer work.

#### 5.1.2 TASK II - Advanced processing algorithm development for advanced ES

systems using artificial intelligence technology and automatic analysis techniques.

This task entails development of algorithms to provide various automatic and autonomous analysis, processing, and control functions required for advanced ES systems. The task includes initial algorithm development, prototyping, test and evaluation, and final implementation. The development of advanced algorithms for large scale multi-engineer projects will require contractor to interact with the development team and to program in chosen developmental environment or operating system. Algorithms will be tested and evaluated by the contractor using procedures developed by the contractor and approved by the development team.

All work performed under this task shall be fully documented. A required deliverable for this task will be a detailed document set describing the work performed. Document set to include, but not limited to, software design documentation, and performance analysis reports. Additional deliverables for this task will be technical reports, trip reports, monthly progress reports and monthly fiscal reports.

Estimated level of effort per year for this task will be six (6) man-months of senior programmer work and six (6) man-months of senior analyst work.

#### 5.1.3 TASK III Software engineering for control, interface, and algorithm integration into advanced ES systems.

This task includes five (5) subtasks: 1) advanced real-time data collection software design, development, implementation, test, and revision for advanced ES systems, 2) advanced data analysis software (manual and automatic) design, development, implementation, test, and revision for advanced ES systems, 3) advanced relational database software design, development, implementation, test, and revision for advanced ES systems, 4) advanced graphical user interface (GUI) design, development, implementation, test, and revision for advanced ES systems, 5) final test and evaluation of fully integrated software system. Software tasks may involve multiple development environments and programming languages to be specified during development.

All work performed under this task shall be fully documented. A required deliverable for this task will be a detailed document set describing the work performed. Document set to include, but not limited to, software design documentation, and performance analysis reports. Additional deliverables for this task will be technical reports, trip reports, monthly progress reports and monthly fiscal reports.

Estimated level of effort per year for this task will be six (6) man-months of senior programmer work and six (6) man-months of senior analyst work.





# CONTRACT DATA REQUIREMENTS LIST

(1 Data Item)

Form Approved  
OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 110 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0701-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. Please DO NOT RETURN your form to the above address. Send completed form to the Government Issuing Contracting Officer for the Contract/PR No. listed in Block E.

<b>A. CONTRACT LINE ITEM NO.</b> 0002	<b>B. EXHIBIT</b> A	<b>C. CATEGORY:</b> TDP _____ TM _____ OTHER _____ X _____
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<b>D. SYSTEM / ITEM</b> 0001	<b>E. CONTRACT / PR NO.</b>	<b>F. CONTRACTOR</b>
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<b>1. DATA ITEM NO.</b> A003	<b>2. TITLE OF DATA ITEM</b> Software Design Documentation	<b>3. SUBTITLE</b>
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<b>4. AUTHORITY (Data Acquisition Document No.)</b>	<b>5. CONTRACT REFERENCE</b> All Tasks	<b>6. REQUIRING OFFICE</b> NRL 5720
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<b>7. DD 250 REQ</b> LT	<b>8. DIST STATEMENT REQUIRED</b>	<b>10. FREQUENCY</b> ASREQ	<b>12. DATE OF FIRST SUBMISSION</b> *	<b>14. DISTRIBUTION</b>		
<b>8. APP CODE</b> N/A	<b>9. DIST STATEMENT REQUIRED</b> N/A	<b>11. AS OF DATE</b>	<b>13. DATE OF SUBSEQUENT SUBMISSION</b>	<b>a. ADDRESSEE</b>	<b>b. COPIES</b>	
					<b>Draft</b>	<b>Final</b>
					<b>Reg</b>	<b>Repre</b>

<b>16. REMARKS</b> * Must deliver with any software  The contractor shall deliver a software design document at the conclusion of any new software development or existing software modifications conducted under any of the contract tasks. This requirement applies to all new or modified software delivered under this contract. The contractor shall document all software developed and/or modified with text that includes the following as a minimum:  (a) Name and purpose of the software program. (b) Name and purpose of the software with which the designated software will be used. Definition of hardware and software interfaces, as required. (c) File names and other information describing the identification and location of the software. (d) Detailed operator (user) instructions. The documentation of operator instructions will be comprehensive. (e) On-line HELP will be utilized to the highest degree possible where applicable. Software that directly interfaces to the human system operator will support HELP functions to assist the operator in normal system operations. (f) All software will be documented within the source code to the degree necessary to permit a qualified computer programmer to understand and continue the development of the software. This type of documentation is referred to as "in-line" documentation. In-line documentation will be required to the degree that software functionality is not impaired.  Sufficient information will be provided to allow an uninitiated person to understand the use of the software. Software documentation resulting from designs applied to specific ESM receiver/processor systems will be delivered in a format and on a medium appropriate and considered standard for that system. Industry standard software compilers will be used to the greatest degree possible.	<b>15. TOTAL</b> → 2
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<b>G. PREPARED BY</b> NRL Code 5720	<b>H. DATE</b> 05/17/01	<b>I. APPROVED BY</b>	<b>J. DATE</b>
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<b>17. PRICE GROUP</b>
<b>18. ESTIMATED TOTAL PRICE</b>

# CONTRACT DATA REQUIREMENTS LIST

(2 Data Items)

Form Approved  
OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 110 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0701-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. Please DO NOT RETURN your form to the above address. Send completed form to the Government Issuing Contracting Officer for the Contract/PR No. listed in Block E.

<b>A. CONTRACT LINE ITEM NO.</b> 0002	<b>B. EXHIBIT</b> A	<b>C. CATEGORY:</b> TDP _____ TM- _____ OTHER _____ X _____
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<b>D. SYSTEM / ITEM</b> 0001	<b>E. CONTRACT / PR NO.</b>	<b>F. CONTRACTOR</b>
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<b>1. DATA ITEM NO.</b> A004	<b>2. TITLE OF DATA ITEM</b> Interim Technical Reports	<b>3. SUBTITLE</b>
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<b>4. AUTHORITY (Data Acquisition Document No.)</b>	<b>5. CONTRACT REFERENCE</b> All Tasks	<b>6. REQUIRING OFFICE</b> NRL Code 5720
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<b>7. DD 250 REQ</b> LT	<b>9. DIST STATEMENT REQUIRED</b>	<b>10. FREQUENCY</b> QRTLY	<b>12. DATE OF FIRST SUBMISSION</b> *	<b>14. DISTRIBUTION</b>		
<b>8. APP CODE</b> N/A	<b>N/A</b>	<b>11. AS OF DATE</b>	<b>13. DATE OF SUBSEQUENT SUBMISSION</b> **	<b>a. ADDRESSEE</b>		<b>b. COPIES</b>
						Draft

<b>16. REMARKS</b> * 3 Month after start of any major sub-task ** Every 3 months until completion of major sub-task  The contractor shall prepare interim technical report for each task that must include but not be limited to: a) Discussion of sub-task or task objectives. b) Discussion of technical requirements. c) Technical results. d) Conclusions and recommendations for further research. e) Description of significant hardware and/or software developments as appropriate.	<b>15. TOTAL</b> →
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<b>17. PRICE GROUP</b>
<b>18. ESTIMATED TOTAL PRICE</b>

<b>1. DATA ITEM NO.</b> A005	<b>2. TITLE OF DATA ITEM</b> Final Technical Report	<b>3. SUBTITLE</b>
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<b>4. AUTHORITY (Data Acquisition Document No.)</b>	<b>5. CONTRACT REFERENCE</b> All Tasks	<b>6. REQUIRING OFFICE</b> NRL Code 5720
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<b>7. DD 250 REQ</b> LT	<b>9. DIST STATEMENT REQUIRED</b>	<b>10. FREQUENCY</b> ASREQ	<b>12. DATE OF FIRST SUBMISSION</b> *	<b>14. DISTRIBUTION</b>		
<b>8. APP CODE</b> N/A	<b>N/A</b>	<b>11. AS OF DATE</b>	<b>13. DATE OF SUBSEQUENT SUBMISSION</b>	<b>a. ADDRESSEE</b>		<b>b. COPIES</b>
						Draft

<b>16. REMARKS</b> * 30 Working days after completion of any major sub-task  The contractor shall prepare interim technical report for each task that must include but not be limited to: a) Discussion of sub-task or task objectives. b) Discussion of technical requirements. c) Technical results. d) Conclusions and recommendations for further research. e) Description of significant hardware and/or software developments as appropriate.	<b>15. TOTAL</b> →
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<b>17. PRICE GROUP</b>
<b>18. ESTIMATED TOTAL PRICE</b>

<b>G. PREPARED BY</b> NRL Code 5720	<b>H. DATE</b> 05/17/01	<b>I. APPROVED BY</b>	<b>J. DATE</b>
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**DEPARTMENT OF DEFENSE  
CONTRACT SECURITY CLASSIFICATION SPECIFICATION**

*(The requirements of the DoD Industrial Security Manual apply to all security aspects of this effort.)*

<b>1. CLEARANCE AND SAFEGUARDING</b> SER: 009-01	
a. FACILITY CLEARANCE REQUIRED	<b>SECRET</b>
b. LEVEL OF SAFEGUARDING REQUIRED	<b>SECRET</b>

<b>2. THIS SPECIFICATION IS FOR:</b> <i>(X and complete as applicable)</i>		<b>3. THIS SPECIFICATION IS:</b> <i>(X and complete as applicable)</i>	
a. PRIME CONTRACT NUMBER		<input checked="" type="checkbox"/> a. ORIGINAL <i>(Complete date in all cases)</i>	DATE (YYYYMMDD) 20010312
b. SUBCONTRACT NUMBER		b. REVISED <i>(Supersedes all previous specs)</i>	REVISION NO. DATE (YYYYMMDD)
<input checked="" type="checkbox"/> c. SOLICITATION OR OTHER NUMBER 57-2112-01	DUE DATE (YYYYMMDD)	c. FINAL <i>(Complete Item 5 in all cases)</i>	DATE (YYYYMMDD)

**4. IS THIS A FOLLOW-ON CONTRACT?**  YES  NO. If Yes, complete the following:  
Classified material received or generated \_\_\_\_\_ (Preceding Contract Number) is transferred to this follow-on contract.

**5. IS THIS A FINAL DD FORM 254?**  YES  NO. If Yes, complete the following:  
In response to the contractor's request \_\_\_\_\_, retention of the classified material is authorized for the period of \_\_\_\_\_

**6. CONTRACTOR** *(Include Commercial and Government Entity (CAGE) Code)*

a. NAME, ADDRESS, AND ZIP CODE  FOR RFP PURPOSES ONLY, NOT VALID FOR ACTUAL CONTRACT AWARD	b. CAGE CODE	c. COGNIZANT SECURITY OFFICE <i>(Name, Address, and Zip Code)</i>  N/A
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**7. SUBCONTRACTOR**

a. NAME, ADDRESS, AND ZIP CODE  N/A	b. CAGE CODE	c. COGNIZANT SECURITY OFFICE <i>(Name, Address, and Zip Code)</i>  N/A
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**8. ACTUAL PERFORMANCE**

a. LOCATION  N/A	b. CAGE CODE	c. COGNIZANT SECURITY OFFICE <i>(Name, Address, and Zip Code)</i>  N/A
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**9. GENERAL IDENTIFICATION OF THIS PROCUREMENT**  
  
ESM ENGINEERING AND SUPPORT SERVICES

10. CONTRACTOR WILL REQUIRE ACCESS TO:		YES	NO	11. IN PERFORMING THIS CONTRACT, THE CONTRACTOR WILL:		YES	NO
a. COMMUNICATIONS SECURITY (COMSEC) INFORMATION			<input checked="" type="checkbox"/>	e. HAVE ACCESS TO CLASSIFIED INFORMATION ONLY AT ANOTHER CONTRACTOR'S FACILITY OR A GOVERNMENT ACTIVITY			<input checked="" type="checkbox"/>
b. RESTRICTED DATA			<input checked="" type="checkbox"/>	b. RECEIVE CLASSIFIED DOCUMENTS ONLY			<input checked="" type="checkbox"/>
c. CRITICAL NUCLEAR WEAPON DESIGN INFORMATION			<input checked="" type="checkbox"/>	c. RECEIVE AND GENERATE CLASSIFIED MATERIAL	<input checked="" type="checkbox"/>		
d. FORMERLY RESTRICTED DATA			<input checked="" type="checkbox"/>	d. FABRICATE, MODIFY, OR STORE CLASSIFIED HARDWARE			<input checked="" type="checkbox"/>
e. INTELLIGENCE INFORMATION			<input checked="" type="checkbox"/>	e. PERFORM SERVICES ONLY			<input checked="" type="checkbox"/>
(1) Sensitive Compartmented Information (SCI)			<input checked="" type="checkbox"/>	f. HAVE ACCESS TO U.S. CLASSIFIED INFORMATION OUTSIDE THE U.S., PUERTO RICO, U.S. POSSESSIONS AND TRUST TERRITORIES			<input checked="" type="checkbox"/>
(2) Non-SCI			<input checked="" type="checkbox"/>	g. BE AUTHORIZED TO USE THE SERVICES OF DEFENSE TECHNICAL INFORMATION CENTER (DTIC) OR OTHER SECONDARY DISTRIBUTION CENTER	<input checked="" type="checkbox"/>		
f. SPECIAL ACCESS INFORMATION			<input checked="" type="checkbox"/>	h. REQUIRE A COMSEC ACCOUNT			<input checked="" type="checkbox"/>
g. NATO INFORMATION			<input checked="" type="checkbox"/>	i. HAVE TEMPEST REQUIREMENTS			<input checked="" type="checkbox"/>
h. FOREIGN GOVERNMENT INFORMATION			<input checked="" type="checkbox"/>	j. HAVE OPERATIONS SECURITY (OPSEC) REQUIREMENTS			<input checked="" type="checkbox"/>
i. LIMITED DISSEMINATION INFORMATION			<input checked="" type="checkbox"/>	k. BE AUTHORIZED TO USE THE DEFENSE COURIER SERVICE			<input checked="" type="checkbox"/>
j. FOR OFFICIAL USE ONLY INFORMATION	<input checked="" type="checkbox"/>			l. OTHER <i>(Specify)</i>			
k. OTHER <i>(Specify)</i>							

**12. PUBLIC RELEASE.** Any information (*classified or unclassified*) pertaining to this contract shall not be released for public dissemination except as provided by the Industrial Security Manual or unless it has been approved for public release by appropriate U.S. Government authority. Proposed public releases shall

Direct  Through (*Specify*)

COMMANDING OFFICER NAVAL RESEARCH LABORATORY WASHINGTON DC 20375-5320, CODE 5720.

to the Directorate for Freedom of Information and Security Review, Office of the Assistant Secretary of Defense (Public Affairs)\* for review.  
 \*In the case of non-DoD User Agencies, requests for disclosure shall be submitted to that agency.

**13. SECURITY GUIDANCE.** The security classification guidance needed for this classified effort is identified below. If any difficulty is encountered in applying this guidance or if any other contributing factor indicates a need for changes in this guidance, the contractor is authorized and encouraged to provide recommended changes; to challenge the guidance or the classification assigned to any information or material furnished or generated under this contract; and to submit any questions for interpretation of this guidance to the official identified below. Pending final decision, the information involved shall be handled and protected at the highest level of classification assigned or recommended. (*Fill in as appropriate for the classified effort. Attach, or forward under*

Access to classified information is not required for the purpose of submitting a bid/proposal for this statement of work. However, prior to award of contract, the successful contractor will be required to have a SECRET facility clearance, SECRET storage capabilities, and personnel available with DoD granted personnel security clearances commensurate with level of access required for performance of contract.

**14. ADDITIONAL SECURITY REQUIREMENTS.** Requirements, in addition to ISM requirements, are established for this contract.  Yes  No  
 (*If Yes, identify the pertinent contractual clauses in the contract document itself, or provide an appropriate statement which identifies the additional requirements. Provide a copy of the requirements to the cognizant security office. Use Item 13 if additional space is needed.*)

**15. INSPECTIONS.** Elements of this contract are outside the inspection responsibility of the cognizant security office.  Yes  No  
 (*If Yes, explain and identify specific areas or elements carved out and the activity responsible for inspections. Use Item 13 if additional space is needed.*)

**16. CERTIFICATION AND SIGNATURE.** Security requirements stated herein are complete and adequate for safeguarding the classified information to be released or generated under this classified effort. All questions shall be referred to the official named below.

a. TYPED NAME OF CERTIFYING OFFICIAL  
TINA SMALLWOOD

b. TITLE  
CONTRACTING OFFICER, SECURITY

c. TELEPHONE (*Include Area Code*)  
(202)767-2240/2521

d. ADDRESS (*Include Zip Code*)  
NAVAL RESEARCH LABORATORY  
4555 OVERLOOK AVE., SW  
WASHINGTON, DC 20375-5320

**17. REQUIRED DISTRIBUTION**

- a. CONTRACTOR
- b. SUBCONTRACTOR
- c. COGNIZANT SECURITY OFFICE FOR PRIME AND SUBCONTRACTOR
- d. U.S. ACTIVITY RESPONSIBLE FOR OVERSEAS SECURITY ADMINISTRATION
- e. ADMINISTRATIVE CONTRACTING OFFICER
- f. OTHERS AS NECESSARY 1221.1, 5720, 5702

e. SIGNATURE  
*Tina Smallwood*

## PERSONNEL QUALIFICATIONS

### ESM Engineering and Support Services

#### 1.0 INTRODUCTION

The following are the minimum requirements necessary to perform the tasks set forth in the Statement of Work:

#### 2.0 LABOR CATEGORIES / QUALIFICATIONS

##### 2.1 System Supervisor/Programmer

Support Level: 2,080 man-hours per year.

Education: Bachelor degree in one of the following fields: Computer Science, Computer Information Systems, Mathematics, Applied Mathematics, Electronics Engineering, or Physics. Education and experience is substituted on a one to two year ratio, e.g., high school diploma plus a two year computer school certificate and four years of relevant experience would equal a four year BS degree.

Security Clearance: Secret minimum.

Experience Requirements: In addition to the above stated education requirements or equivalencies, the following experience is required: a minimum of 15 years experience in ES and 5 years experience with existing Navy collection systems and supporting relational databases and tactical reporting protocols. Specifically at least 5 years of experience is required in field support activity for collection by currently deployed or advanced ES systems, on-the-spot software modification and debugging of advanced automatic software used in advanced ES systems, and overall software systems design and integration for advanced ES system and GUIs. This includes current and future relational joint DoD global database integration into the advanced collection and analysis software. Five years of experience in the management of the type of tasks associated with this SOW.

Programming experience with Assembly Language, Visual Basic, Visual C, Visual C++, Basic, and Fortran is necessary as is experience in programming in the MS-Windows environment. This position may require extensive travel in and outside the US.

Demonstrated ability to communicate (i.e., liaison) with leading scientists in the areas of relational database development, pattern recognition, automatic algorithm development, system operational

interfaces, and EW technology. Individual will also demonstrate ability to liaise with Fleet operational personnel, both US and foreign.

## 2.2 Senior Analyst

Support Level: 2,080 man-hours per year.

Education: Bachelor degree in one of the following fields: Computer Science, Computer Information Systems, Mathematics, Applied Mathematics, Electronics Engineering, or Physics. Education and experience is substituted on a one to two year ratio, e.g., high school diploma plus a two year computer school certificate and four years of relevant experience would equal a four year BS degree.

Security Clearance: Secret minimum.

Experience Requirements: In addition to the above stated education requirements or equivalencies, the following experience is required: a minimum of 15 years experience in ES and at least 5 years experience in the development of algorithms to provide various automatic and autonomous analysis, processing, and control functions required for advanced ES systems. This experience includes initial algorithm development, prototyping, test and evaluation, and final implementation. The development of advanced algorithms for large scale multi-engineer projects will require interaction with the development team.

Programming experience with Assembly Language, Visual Basic, Visual C, Visual C++, Basic, and Fortran is necessary as is experience in programming in the MS-Windows environment. This position may require extensive travel in and outside the US.

Demonstrated ability to communicate (i.e., liaison) with leading scientists in the areas of relational database development, pattern recognition, automatic algorithm development, system operational interfaces, and EW technology. Individual will also demonstrate ability to liaise with Fleet operational personnel, both US and foreign.

## 2.3 Senior Programmer

Support Level: 2,080 man-hours per year.

Education: Bachelor degree in one of the following fields: Computer Science, Computer Information Systems, Mathematics, Applied Mathematics, Electronics Engineering, or Physics.

Education and experience is substituted on a one to two year ratio, e.g., high school diploma plus a two year computer school certificate and four years of relevant experience would equal a four year BS degree.

Security Clearance: Secret minimum.

Experience Requirements: In addition to the above stated education requirements or equivalencies, the following experience is required: a minimum of 15 years experience in ES and at least 5 years experience in the development of advanced real-time data collection software design, development, implementation, test, and revision for advanced ES systems; advanced data analysis software design, development, implementation, test, and revision for advanced ES systems; advanced relational database software design, development, implementation, test, and revision for advanced ES systems; advanced graphical user interface (GUI) design, development, implementation, test, and revision for advanced ES systems; and final test and evaluation of fully integrated software system.

Programming experience with Visual Basic, Visual C, Visual C++, Basic, and Fortran is necessary as is experience in programming in the MS-Windows environment. This position may require extensive travel in and outside the US.

Demonstrated ability to communicate (i.e., liaison) with leading scientists in the areas of relational database development, pattern recognition, automatic algorithm development, system operational interfaces, and EW technology. Individual will also demonstrate ability to liaise with Fleet operational personnel, both US and foreign.