

SOLICITATION, OFFER AND AWARD		1. THIS CONTRACT IS A RATED ORDER UNDER DPAS (15 CFR 700)		RATING DO-C9	PAGE OF PAGES 1 32
2. CONTRACT NUMBER	3. SOLICITATION NUMBER N00173-07-R-JR02	4. TYPE OF SOLICITATION <input type="checkbox"/> SEALED BID (IFB) <input checked="" type="checkbox"/> NEGOTIATED (RFP)	5. DATE ISSUED 14 MAY 07	6. REQUISITION/PURCHASE NUMBER 67-0822-07	
7. ISSUED BY CONTRACTING OFFICER NAVAL RESEARCH LABORATORY 4555 OVERLOOK AVE., SW WASHINGTON, DC 20375-5320		CODE N00173	8. ADDRESS OFFER TO (If other than Item 7)		

NOTE: In sealed bid solicitations "offer" and "offeror" mean "bid" and "bidder".

SOLICITATION

9. Sealed offers in original and 3 copies for furnishing the supplies or services in the Schedule will be received at the place specified in Item 8, or if handcarried, in the depository located in BLDG 222, RM 115 until 4:00 pm local time 18 JUNE 07
(Hour) (Date)

CAUTION - LATE Submissions, Modifications, and Withdrawals: See Section L, Provision No. 52.214-7 or 52.215-1. All offers are subject to all terms and conditions contained in this solicitation.

10. FOR INFORMATION CALL:	A. NAME MR. JERRY RILES	B. TELEPHONE (NO COLLECT CALLS)		C. E-MAIL ADDRESS jerry.riles@nrl.navy.mil
		AREA CODE 202	NUMBER 767-0667	EXT.

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OFFER (Must be fully completed by offeror)

NOTE: Item 12 does not apply if the solicitation includes the provisions at 52.214-16, Minimum Bid Acceptance Period.

12. In compliance with the above, the undersigned agrees, if this offer is accepted within _____ calendar days (60 calendar days unless a different period is inserted by the offeror) from the date for receipt of offers specified above, to furnish any or all items upon which prices are offered at the price set opposite each item, delivered at the designated point(s), within the time specified in the schedule.

13. DISCOUNT FOR PROMPT PAYMENT (See Section I, Clause No. 52.232-8)	10 CALENDAR DAYS (%)	20 CALENDAR DAYS (%)	30 CALENDAR DAYS (%)	CALENDAR DAYS (%)
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14. ACKNOWLEDGMENT OF AMENDMENTS (The offeror acknowledges receipt of amendments to the SOLICITATION for offerors and related documents numbered and dated):	AMENDMENT NO.	DATE	AMENDMENT NO.	DATE

15A. NAME AND ADDRESS OF OFFEROR	CODE	FACILITY	16. NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (Type or print)
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15B. TELEPHONE NUMBER AREA CODE NUMBER EXT.	15C. CHECK IF REMITTANCE ADDRESS IS DIFFERENT FROM ABOVE - ENTER SUCH ADDRESS IN SCHEDULE. <input type="checkbox"/>	17. SIGNATURE	18. OFFER DATE

AWARD (To be completed by Government)

19. ACCEPTED AS TO ITEMS NUMBERED	20. AMOUNT	21. ACCOUNTING AND APPROPRIATION
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22. AUTHORITY FOR USING OTHER THAN FULL AND OPEN COMPETITION: <input type="checkbox"/> 10 U.S.C. 2304(c)) <input type="checkbox"/> 41 U.S.C. 253(c) ()	23. SUBMIT INVOICES TO ADDRESS SHOWN IN (4 copies unless otherwise specified)	ITEM
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24. ADMINISTERED BY (If other than Item 7) CODE	25. PAYMENT WILL BE MADE BY CODE
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26. NAME OF CONTRACTING OFFICER (Type or print)	27. UNITED STATES OF AMERICA (Signature of Contracting Officer)	28. AWARD DATE
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IMPORTANT - Award will be made on this Form, or on Standard Form 26, or by other authorized official written notice.

**PART I - THE SCHEDULE
SECTION B
SUPPLIES OR SERVICES AND PRICES/COSTS**

B-1 SUPPLIES/SERVICES AND COSTS

ITEM NUMBER	SUPPLIES/SERVICES	ESTIMATED COST	FIXED FEE	ESTIMATED COST PLUS FIXED FEE
0001	The Contractor shall provide research and support in the area of Radiation and Acceleration Physics	\$	\$	\$
0002	Data in accordance with Exhibit A (DD 1423)	* NSP	* NSP	* NSP
TOTAL ESTIMATED COST PLUS FIXED FEE- BASE		\$	\$	\$

* *Not Separately Priced*

NOTICE TO OFFERORS: In addition to inserting the estimated cost and fixed fee for the base year above, the estimated cost and fixed fee for each optional extension of the term of the contract are to be inserted in Section H.

SECTION C
DESCRIPTION/SPECIFICATIONS/STATEMENT OF WORK

C-1 STATEMENT OF WORK

The work and services to be performed hereunder shall be subject to the requirements and standards contained in Attachment (1), Statement of Work, with Exhibit A, Contract Data Requirements List, and all other Attachments cited in Section J, which are incorporated by reference into Section C.

C-2 REQUIREMENTS FOR ON-SITE CONTRACTORS

For those portions of the work under this contract performed at any NRL site, the contractor shall comply with the Requirements for On-Site Contractors dated 27 March 2007 which are hereby incorporated by reference. The full text is available at <http://heron.nrl.navy.mil/contracts/12onsite.htm>

SECTION D
PACKAGING AND MARKING

D-1 PACKAGING AND MARKING

Preservation, packaging, packing and marking of all deliverable contract line items must conform to normal commercial packing standards to assure safe delivery at destination.

SECTION E
INSPECTION AND ACCEPTANCE

E-1 INSPECTION AND ACCEPTANCE CLAUSES INCORPORATED BY REFERENCE

FAR CLAUSE TITLE

52.246-9 - Inspection Of Research And Development (Short Form) (APR 1984)

DFARS CLAUSE TITLE

252.246-7000 - Material Inspection And Receiving Report (MAR 2003)

E-2 INSPECTION AND ACCEPTANCE

Inspection and acceptance of the final delivery will be accomplished by the Technical Manager (TM) or Contracting Officer Representative (COR) designated in Section G of this contract. Inspection and acceptance will be performed at the Naval Research Laboratory, Washington DC 20375-5320.

**SECTION F
DELIVERIES OR PERFORMANCE**

F-1 DELIVERIES OR PERFORMANCE CLAUSES INCORPORATED BY REFERENCE:

FAR CLAUSE TITLE

52.242-15 - Stop-Work Order (AUG 1989) - Alternate I (APR 1984)
52.247-34 - F.O.B. Destination (NOV 1991)

F-2 PERIOD AND PLACE OF PERFORMANCE

(a) The term of this contract is from date of contract award through one year thereafter. Each option, if exercised, shall extend the term an additional year.

(b) The principal place of performance of this contract shall be: **Naval Research Laboratory (NRL)
Washington, DC 20375-5320**

**SECTION G
CONTRACT ADMINISTRATION DATA**

G-1 PROCURING OFFICE REPRESENTATIVE

In order to expedite administration of the contract, the Administrative Contracting Officer (ACO) will direct inquiries to the appropriate office listed below. Please do not direct routine inquiries to the person listed in Item 20A on Standard Form 26.

Security Matters- Contracting Officer for Security, Code 1221, (202) 767-2240, DSN 297-2240, email security-group@nrl.navy.mil

Safety Matters- Head Safety Branch, Code 3540, (202) 767-2232, DSN 297-2232, email safety@nrl.navy.mil

Patent Matters-Associate Counsel (Intellectual Property), Code 1008.2, (202) 404-1552, DSN 297-1552, email patents@nrl.navy.mil

Release of Data-Public Affairs Officer, Code 1030 (202) 767-2541, DSN 297-2541, email publicaffairs@nrl.navy.mil

G-2 CONTRACTING OFFICER'S REPRESENTATIVE (COR) - FUNCTIONS AND LIMITATIONS

* is hereby designated the cognizant COR who will represent the Contracting Officer in the technical monitoring and administration of this contract. In accordance with Section E, the COR will accomplish inspection and acceptance of deliverables under this contract. The COR is not otherwise authorized to make any representations or commitments of any kind on behalf of the Contracting Officer or the Government. The COR does not have the authority to alter the Contractor's obligations or change the specifications in the contract. If, as a result of technical discussions, it is desirable to alter contract obligations or the statement of work, a modification must be issued in writing and signed by the Contracting Officer. Unless terminated sooner, this appointment is effective for the period of performance of this contract including any options, if exercised. COR authority may not be redelegated. No change in COR assignment shall be made without written notice by the Contracting Officer, who will modify the contract to reflect the change of COR assignment.

(* To be completed at time of award)

G-3 TECHNICAL DIRECTION MEMORANDUM (TDM)

- (a) For the purposes of this clause, technical direction includes the following:
- (1) Direction to the Contractor which shifts work emphasis between work areas or tasks, requires pursuit of certain lines of inquiry, fills in details or otherwise describes work which will accomplish the objectives described in the statement of work;
 - (2) Guidelines to the Contractor, which assist in interpretation of drawings, specifications or technical portions of, work description.
- (b) Technical instructions must be within the scope of work stated in the contract. Technical instructions may not be used to:
- (1) Assign additional work under the contract;
 - (2) Direct a change as defined in the contract clause entitled "Changes";
 - (3) Increase or decrease the estimated contract cost, the fixed fee, or the time required for contract performance; or
 - (4) Change any of the terms, conditions or specifications of the contract
- (c) The TDM shall be written by the Contracting Officer's Representative (COR), with the original given to the Contractor and a copy retained in the CORs file. Technical direction may be issued orally only in emergency situations. If technical direction is issued orally, a TDM must follow within two (2) working days from the date of the oral direction. Amendments, corrections, or changes to TDMs shall also be in written format and shall include all the information set forth in paragraph (e) below.
- (d) A TDM shall be considered issued when the Government deposits it in the mail, or if transmitted by other means, when it is physically delivered to the contractor.
- (e) TDMs shall include, but not be limited to, the following information:
- (1) Date of TDM,
 - (2) Contract Number,
 - (3) Reference to the relevant portion or item in the Statement of Work,
 - (4) The specific technical direction or clarification, and
 - (5) The signature of the COR.

(f) CORs shall retain all files containing TDMs for a period of two (2) years after the final contract completion date.

(g) The only individual authorized in any way to amend or modify any of the terms of this contract shall be the Contracting Officer. When, in the opinion of the Contractor, any technical direction calls for effort outside the scope of the contract or inconsistent with this special provision, the Contractor shall notify the Contracting Officer in writing within ten (10) working days after its receipt.

G-4 CONTRACTOR-ACQUIRED PROPERTY

(a) The contractor is authorized to acquire the following items of facilities, which are needed to accomplish this contract.

Items to be Acquired	Estimated Cost
*	

*(*this provision will be included and completed at time of award, if applicable)*

(b) This authorization does not constitute any consent required pursuant to the contract clause entitled "Subcontracts" (FAR 52.244-2). Advance notification or requests for consent pursuant to that clause shall be directed to the administrative contracting officer (ACO).

(c) Pursuant to the contract clause entitled "Government Property (Cost-Reimbursement, Time-and-Material, or Labor-Hour Contracts)" (FAR 52.245-5), title to the property shall vest in the Government.

(d) Prior to acquisition of any item of Industrial Plant Equipment, the Contractor must comply with the requirements of Department of Defense Federal Acquisition Regulation Supplement (DFARS 245.302-1(b)(1)(A). (See DFARS 245.301 for definition of "Industrial Plant Equipment.")

G-5 SUBCONTRACTORS/CONSULTANTS

(a) Advance notification or requests for consent pursuant to the contract clause entitled "Subcontracts" (FAR 52.244-2) shall be directed to the cognizant administrative contracting officer (ACO).

(b) The following subcontractors/consultants have been identified in the Contractor's proposal as necessary for performance of this contract:

Subcontractor/Consultant Name	Estimated Cost
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(Paragraph (b) will be included and filled in at time of award if subcontractor/consultants are proposed by the successful offeror)

G-6 PAYMENT OF FIXED FEE (COMPLETION FORM)

The fixed fee set forth in Section B of this contract shall be payable on completion of the work and services required under each CLIN of this contract and their acceptance on behalf of the Government. However, the contractor may bill on each voucher the amount of the fixed fee bearing the same percentage to the amount of cost billed as the total fixed fee bears to the total estimated cost set forth in Section B, subject to the contract clause entitled "Fixed Fee" (FAR 52.216-8). If the fixed fee is reduced pursuant to any clause or requirement of this contract and the reduced fee is less than the sum of all fee payments made to the contractor under this contract, the contractor shall repay the difference to the Government. The total fee paid the contractor shall not exceed the fixed fee set forth in Section B.

G-7 INCREMENTAL FUNDING

Pursuant to the Limitation of Funds clause (FAR 52.232-22), the total amount allotted to this contract is \$* and it is estimated that this amount is sufficient for contract performance through * .

*(*this provision will be included and completed at time of award, if applicable)*

G-8 INFORMATIONAL SUBLINE ITEMS

It is anticipated that the research and development services performed under this contract will be paid for from multiple sources of funds. Informational subline items will be established as necessary to identify each accounting citation classification.

G-9 PAYMENT INSTRUCTIONS FOR MULTIPLE ACCOUNTING CLASSIFICATION CITATIONS (COST-REIMBURSEMENT)

If there is more than one ACRN within a contract line item, the payment office will make payment using the ACRN(s) cited on the contractor's invoice.

G-10 PAYMENT AND VOUCHER INSTRUCTIONS

In accordance with the contract clause, *Electronic Submission of Payment Requests* (DFARS 252.232-7003), the contractor must submit requests for payment electronically in the Wide Area Workflow (WAWF). It may be accessed at its homepage <https://wawf.eb.mil/>. If the contractor has not registered with WAWF, instructions for doing so may be accessed at: <https://wawf.eb.mil/vreg.htm>. The designated Electronic Business (EB) point of contact in the Central Contractor Registration (CCR) is the person responsible for activating the company's CAGE code in WAWF by calling 1-866-618-5988.

The following information is applicable to vouchers submitted under this contract using WAWF:

Under "Create New Document" select "Cost Voucher". When you enter the contract number, some of the other fields in the document may fill out automatically.

Use the appropriate Item Number stated in Section B of the contract (e.g., 0001, 0002 or 0001AA, 0001AB, etc).

If the contract contains multiple accounting classification reference numbers (ACRNs), the ACRN(s) from which payment is to be made must be cited on the voucher. If more than one ACRN is cited, the voucher must indicate the amounts to be paid from each. Information may be obtained from the COR to assist the contractor in determining the appropriate ACRNs from which payment is to be made.

ROUTING TABLE DATA REQUIRED FOR SUBMISSION OF INVOICE TO WAWF	
Document Type	Cost Voucher
Contract Number	N00173-07-
Issued By DoDAAC	N00173
Admin By DoDAAC	
Pay DoDAAC	
LPO	N00173
Ship To DoDAAC	N00173 Extension
Service Acceptor	N00173 Extension
DCAA Auditor DoDAAC	
CAGE Code	

IMPORTANT: When submitting vouchers using WAWF, utilize the **“Send More Email Notifications”** function in WAWF. This additional notification is important to ensure the Government Acceptor is aware that the invoice documents have been submitted into the WAWF system.

Send More Email Notifications	
Acceptor email	@nrl.navy.mil

Pursuant to DFARS 242.803(b)(i)(c), if the cognizant Government auditor has notified the contractor of its authorization to do so, the contractor may submit vouchers under this contract direct to the payment office. Such authorization does not extend to the first and final vouchers.

For assistance with the WAWF system, you may contact the Navy WAWF Assistance Line : 1-800-559-WAWF(9293).

**SECTION H
SPECIAL CONTRACT REQUIREMENTS**

H-1 TYPE OF CONTRACT

This is a *

*(*To be completed at time of award)*

H-2 ONR 5252.237-9705 - KEY PERSONNEL (DEC 88)

(a) The Contractor agrees to assign to the contract tasks those persons whose resumes were submitted with its proposal and who are necessary to fulfill the requirements of the contract as "key personnel". No substitutions may be made except in accordance with this clause.

(b) The Contractor understands that during the first ninety (90) days of the contract performance period, no personnel substitutions will be permitted unless these substitutions are unavoidable because of the incumbent's sudden illness, death or termination of employment. In any of these events, the Contractor shall promptly notify the Contracting Officer and provide the information described in paragraph (c) below. After the initial ninety (90) day period the Contractor must submit to the Contracting Officer all proposed substitutions, in writing, at least thirty (30) days in advance (sixty (60) days if security clearance must be obtained) of any proposed substitution and provide the information required by paragraph (c) below.

(c) Any request for substitution must include a detailed explanation of the circumstances necessitating the proposed substitution, a resume for the proposed substitute, and any other information requested by the Contracting Officer. Any proposed substitute must have qualifications equal to or superior to the qualifications of the incumbent. The Contracting Officer or his/her authorized representative will evaluate such requests and promptly notify the Contractor of his/her approval or disapproval thereof.

(d) In the event that any of the identified key personnel cease to perform under the contract and the substitute is disapproved, the contract may be immediately terminated in accordance with the Termination clause of the contract.

The following are identified as key personnel: *

*(*To be completed at time of award)*

Labor Category	First/M/Last Name
Principal Research Scientist/Engineer	

H-3 ONR 5252.216-9706 - LEVEL OF EFFORT (DEC 88)

(a) The Contractor agrees to provide the total level of effort specified in the next sentence in performance of the work described in this contract. The total level of effort for performance of this contract shall be **9,800** total hours of direct labor for the base year, and each option year, if exercised, including subcontractor direct labor for those subcontractors specifically identified in the Contractor's proposal as having hours included in the proposed level of effort. A breakdown of labor categories and hours is set forth in paragraph (k) below.

(b) The level of effort for this contract shall be expended at an average rate of **817** hours per month. It is understood and agreed that the rate of hours per month may fluctuate in pursuit of the technical objective, provided such fluctuation does not result in the use of the total hours of effort prior to the expiration of the term of the contract.

(c) The Contractor is required to notify the Contracting Officer when any of the following situations occur, or are anticipated to occur: If during any three consecutive months the monthly average is exceeded by 25% or, if at any time it is forecast that during the last three months of the contract less than 50% of the monthly average will be used during any given month; or, when 85% of the total level of effort has been expended.

(d) If, during the term of the contract, the Contractor finds it necessary to accelerate the expenditure of direct labor to such an extent that the total hours of effort specified would be used prior to the expiration of the term, the Contractor shall notify the Contracting Officer in writing, setting forth the acceleration required, the probable benefits which would result, and an offer to undertake the acceleration at no increase in the estimated cost or fixed fee together with an offer setting forth a proposed level of effort, cost breakdown, and proposed fixed fee for continuation of the work until expiration of the term hereof. The offer shall provide that the work proposed will be subject to the terms and conditions of this contract and any additions or changes required by then current law, regulations, or directives, and that the offer, with a written notice of acceptance by the Contracting Officer, shall constitute a binding contract. The Contractor shall not accelerate any effort until receipt of such written approval by the Contracting Officer. Any agreement to accelerate will be formalized by contract modification.

(e) The Contracting Officer may, by written order, direct the Contractor to accelerate the expenditure of direct labor such that the total hours of effort specified in paragraph (a) above would be used prior to the expiration of the term. This order shall specify the acceleration required and the resulting revised term. The Contractor shall acknowledge this order within five days of receipt.

(f) If the total level of effort specified in paragraph (a) above is not provided by the Contractor during the term of this contract, the Contracting Officer shall either (i) reduce the fixed fee of this contract as follows:

$$\text{Fee Reduction} = \text{Fixed Fee} \times \frac{(\text{Required LOE Hours} - \text{Expended LOE Hours})}{\text{Required LOE Hours}}$$

or (ii) subject to the provisions of the clause of this contract entitled "Limitation of Cost," require the Contractor to continue to perform the work until the total number of hours of direct labor specified in paragraph (a) shall have been expended, at no increase in the fixed fee of this contract.

(g) In the event the government fails to fully fund the contract in a timely manner, the term of the contract may be extended accordingly with no change to cost or fee. If the government fails to fully fund the contract, the fee will be adjusted in direct proportion to that effort which was performed.

(h) Notwithstanding any of the provisions in the above paragraphs, the Contractor may furnish hours up to five percent in excess of the total hours specified in paragraph (a) above, provided that the additional effort is furnished within the term hereof, and provided further that no increase in the estimated cost or fixed fee is required, and no adjustment in the fixed fee shall be made provided that the Contractor has delivered at least 95% of the level of effort required in paragraph (a) above.

- (i) It is understood that the mix of labor categories provided by the Contractor under the contract, as well as the distribution of effort among those categories, may vary considerably from the initial mix and distribution of effort which was estimated by the government or proposed by the Contractor.
- (j) Nothing herein shall be construed to alter or waive any of the rights or obligations of either party pursuant to the Clause entitled "Limitation of Costs" or "Limitation of Funds," either of which clauses as incorporated herein applies to this contract.
- (k) The anticipated breakdown by labor category of the total level of effort is as follows:

<u>Labor Category</u>	<u>BASE</u>	<u>OPT 1</u>	<u>OPT 2</u>	<u>OPT 3</u>	<u>OPT4</u>
Principal Research Sci/Engineer	2700	2700	2700	2700	2700
Senior Research Sci/Engineer	2700	2700	2700	2700	2700
Research Sci/Engineer	1800	1800	1800	1800	1800
Technician	1800	1800	1800	1800	1800
Office Automation Assistant	<u>800</u>	<u>800</u>	<u>800</u>	<u>800</u>	<u>800</u>
TOTAL LEVEL OF EFFORT	9800	9800	9800	9800	9800

H-4 ONR 5252.235-9714 - REPORT PREPARATION (JUL 2005)

Scientific or technical reports prepared by the Contractor and deliverable under the terms of this contract will be prepared in accordance with format requirements contained in ANSI/NISO Z39.18-2005, Scientific and Technical Reports: Elements, Organization, and Design.

[NOTE: All NISO American National Standards are available as free, downloadable pdf(s) at <http://www.niso.org/standards/index.html> . NISO standards can also be purchased in hardcopy form from NISO Press Fulfillment, P. O. Box 451, Annapolis Junction, MD 20701-0451 USA. Telephone U.S. and Canada: (877) 736-6476; Outside the U.S. and Canada: 301-362-6904 ax: 301-206-9789.]

H-5 ELECTRONIC AND INFORMATION TECHNOLOGY (EIT)

In accordance with Section 508 of the Rehabilitation Act of 1973 (29 U.S.C. 794d), all EIT supplies and services provided under this contract must comply with the applicable accessibility standards issued by the Architectural and Transportation Barriers Compliance Board at 36 CFR part 1194 (see FAR Subpart 39.2). Electronic and information technology (EIT) is defined at FAR 2.101.

H-6 OPTION TO EXTEND THE TERM OF THE CONTRACT

This contract shall be renewable at the unilateral option of the Government by the Contracting Officer giving written notice of renewal to the Contractor within the existing term of the contract. The Government may exercise its option to renew the contract a total of four (4) times and each such renewal shall extend the term of the contract by twelve (12) months. The Contractor agrees that performance under each such renewal shall be accomplished in accordance with all of the terms and conditions of this contract and at the estimated cost and fixed fee set forth below:

First Option

Estimated Cost: \$ _____
Fixed Fee: \$ _____
Estimated Cost Plus Fixed Fee: \$ _____

Second Option

Estimated Cost: \$ _____
Fixed Fee: \$ _____
Estimated Cost Plus Fixed Fee: \$ _____ \$

Third Option

Estimated Cost: \$ _____
Fixed Fee: \$ _____
Estimated Cost Plus Fixed Fee: \$ _____ \$

Fourth Option

Estimated Cost: \$ _____
Fixed Fee: \$ _____
Estimated Cost Plus Fixed Fee: \$ _____ \$

H-7 ON-SITE USE OF GOVERNMENT PROPERTY

It is anticipated that Government property will be used by the contractor's personnel in the performance of that portion of the contract performed on-site at the U.S. Naval Research Laboratory (NRL) including any of its field sites. Such use will be on a rent free basis and all such property shall be considered to remain in the possession and control of the NRL for property responsibility and accountability purposes.

(To be completed at time of award)

H-8 REPRESENTATIONS AND CERTIFICATIONS

The Contractor's ORCA Representations and Certifications valid from to are incorporated herein by reference. The DFARS and Contract Specific Representations and Certifications dated are hereby incorporated by reference.

H-9 PROTECTION OF HUMAN SUBJECTS

Any collection or analysis of data from human subjects must not commence until the contractor provides the following information per SECNAV 3900.39D 8.d. (1):

- (a) An appropriate DoD Navy Assurance or a Federalwide Assurance (FWA) with a DoD Navy addendum to the FWA, or an application for a DoD Navy Assurance.
- (b) Documentation of the Institutional Review Board's (IRBs) initial and continuing review and approval.
- (c) IRB-approved informed consent form, except when not required consistent with law and regulation.
- (d) IRB-approved research protocol.
- (e) Documentation that research ethics and human subject protections training has been completed by the contractor's principle investigators.

The contractor has responsibility for certain reporting requirements per SECNAV 3900.39D 8.d. (2).

PART II - CONTRACT CLAUSES
SECTION I
CONTRACT CLAUSES

I-1 FAR 52.252-2 - CLAUSES INCORPORATED BY REFERENCE (FEB 1998)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available.

Also, the full text of a clause may be accessed electronically at this/these address(es):

<http://www.acqnet.gov/far>

<http://heron.nrl.navy.mil/contracts/home.htm>

a. FEDERAL ACQUISITION REGULATION CLAUSES

FAR CLAUSE TITLE

- | | | |
|-----------|---|--|
| 52.202-1 | - | Definitions (JUL 2004) |
| 52.203-3 | - | Gratuities (APR 1984) |
| 52.203-5 | - | Covenant Against Contingent Fees (APR 1984) |
| 52.203-6 | - | Restrictions On Subcontractor Sales To The Government (SEP 2006) |
| 52.203-7 | - | Anti-Kickback Procedures (JUL 1995) |
| 52-203-8 | - | Cancellation, Rescission, And Recovery Of Funds For Illegal Or Improper Activity (JAN 1997) |
| 52.203-10 | - | Price Or Fee Adjustment For Illegal Or Improper Activity (JAN 1997) |
| 52.203-12 | - | Limitation On Payments To Influence Certain Federal Transactions (SEP 2005) |
| 52.204-4 | - | Printed Or Copied Double-Sided On Recycled Paper (AUG 2000) |
| 52.204-7 | - | Central Contractor Registration (JUL 2006) |
| 52.204-9 | - | Personal Identity Verification Of Contractor Personnel (NOV 2006) |
| 52.209-6 | - | Protecting The Government's Interest When Subcontracting With Contractors Debarred, Suspended, Or Proposed For Debarment (SEP 2006) |
| 52.211-15 | - | Defense Priority And Allocation Requirements (SEP 1990) |
| 52.215-2 | - | Audit And Records-Negotiation (JUN 1999) |
| 52.215-8 | - | Order Of Precedence - Uniform Contract Format (OCT 1997) |
| 52.215-11 | - | Price Reduction For Defective Cost Or Pricing Data - Modifications (OCT 1997) |
| 52.215-13 | - | Subcontractor Cost Or Pricing Data Modifications (OCT 1997) |
| 52.215-14 | - | Integrity Of Unit Prices (OCT 1997) |
| 52.215-15 | - | Pension Adjustments And Asset Reversions (OCT 2004) |
| 52.215-17 | - | Waiver Of Facilities Capital Cost Of Money (OCT 1997)
(<i>will be included if the successful offeror does not propose facilities capital cost of money</i>) |
| 52.215-18 | - | Reversion Or Adjustment Of Plans For Post-Retirement Benefits (PRB) Other Than Pensions (JUL 2005) |
| 52.215-19 | - | Notification Of Ownership Changes (OCT 1997) |
| 52.215-21 | - | Requirements For Cost Or Pricing Data Or Information Other Than Cost or Pricing Data -Modifications (OCT 1997) |
| 52.216-7 | - | Allowable Cost And Payment (DEC 2002) (fill in <u>30th</u> |
| 52.216-8 | - | Fixed-Fee (MAR 1997) |
| 52.219-6 | - | Notice Of Total Small-Business Set-Aside (JUN 2003) |
| 52.219-8 | - | Utilization Of Small Business Concerns (MAY 2004) |

- 52.219-14 - Limitations On Subcontracting (DEC 1996)
- 52.222-2 - Payment For Overtime Premiums (JUL 1990) -The Use Of Overtime Is Authorized Under This Contract If The Overtime Premium Does Not Exceed "0"
- 52.222-3 - Convict Labor (JUN 2003)
- 52.222-21 - Prohibition Of Segregated Facilities (FEB 1999)
- 52.222-26 - Equal Opportunity (MAR 2007)
- 52.222-35 - Equal Opportunity For Special Disabled Veterans, Veterans Of The Vietnam Era, And Other Eligible Veterans (SEP 2006)
- 52.222-36 - Affirmative Action For Workers With Disabilities (JUN 1998)
- 52.222-37 - Employment Reports On Special Disabled Veterans, Veterans Of The Vietnam Era, And Other Eligible Veterans (SEP 2006)
- 52.222-39 - Notification Of Employee Rights Concerning Payment Of Union Dues Or Fees (DEC 2004)
- 52.223-3 - Hazardous Material Identification And Material Safety Data (JAN 1997)
- 52.223-5 - Pollution Prevention And Right-To-Know Information (AUG 2003)
- 52.223-6 - Drug-Free Workplace (MAY 2001)
- 52.223-10 - Waste Reduction Program (AUG 2000)
- 52.223-14 - Toxic Chemical Release Reporting (AUG 2003)
- 52.225-13 - Restrictions On Certain Foreign Purchases (FEB 2006)
- 52.227-1 - Authorization And Consent (JUL 1995)- Alternate I (APR 1984)
- 52.227-2 - Notice And Assistance Regarding Patent And Copyright Infringement (AUG 1996)
- 52.227-10 - Filing Of Patent Application- Classified Subject Matter (APR 1984)
- 52.227-11 - Patent Rights - Retention By The Contractor (Short Form) (JUN 1997)
(will be included if the successful offeror is a small business or a non-profit organization)
- 52.228-7 - Insurance - Liability To Third Persons (MAR 1996)
- 52.232-9 - Limitation On Withholding Of Payments (APR 1984)
- 52.232-17 - Interest (JUN 1996)
- 52.232-18 - Availability Of Funds (APR 1984)
- 52.232-20 - Limitation Of Cost (APR 1984) *(Applicable when the contract or task order is fully funded)*
- 52.232-22 - Limitation Of Funds (APR 1984) *(Applicable when the contract or task order is not fully funded)*
- 52.232-23 - Assignment Of Claims (JAN 1986) Alternate I (APR 1984)
- 52.232-25 - Prompt Payment (OCT 2003) Alternate I(FEB 2002)
- 52.232-33 - Payment By Electronic Funds Transfer-Central Contractor Registration (OCT 2003)
- 52.233-1 - Disputes (JUL 2002) - Alternate I (DEC 1991)
- 52.233-3 - Protest After Award (AUG 1996) - Alternate I (JUN 1985)
- 52.233-4 - Applicable Law For Breach Of Contract Claim (OCT 2004)
- 52.237-2 - Protection Of Government Buildings, Equipment And Vegetation (APR 1984)
- 52.237-3 - Continuity Of Services (JAN 1991)
- 52.242-1 - Notice Of Intent To Disallow Costs (APR 1984)
- 52.242-3 - Penalties For Unallowable Costs (MAY 2001)
- 52.242-4 - Certification of Final Indirect Costs (JAN 1997)
- 52.242-13 - Bankruptcy (JUL 1995)
- 52.243-2 - Changes - Cost-Reimbursement (AUG 1987) - Alternate V (APR 1984)
- 52.243-6 - Change Order Accounting (APR 1984)
- 52.243-7 - Notification Of Changes (APR 1984)fill in 30

- 52.244-2 - Subcontracts (AUG 1998) - Alternate I (JAN 2006)
- 52.244-5 - Competition In Subcontracting (DEC 1996)
- 52.244-6 - Subcontracts For Commercial Items (MAR 2007)
- 52.245-5 - Government Property (Cost-Reimbursement, Time-And-Material, Or Labor-Hour Contracts) (MAY 2004)
- 52.245-9 - Use And Charges (AUG 2005)
- 52.246-23 - Limitation Of Liability (FEB 1997)
- 52.246-25 - Limitation Of Liability - Services (FEB 1997)
- 52.247-1 - Commercial Bill Of Lading Notations (FEB 2006)
- 52.247-63 - Preference For U. S. Flag Air Carriers (JUN 2003)
- 52.249-6 - Termination (Cost-Reimbursement) (MAY 2004)
- 52.249-14 - Excusable Delays (APR 1984)
- 52.251-1 - Government Supply Sources (APR 1984)
- 52.252-6 - Authorized Deviations in Clauses (APR 1984)(fill in Defense Federal Acquisition Regulation Supplement (48 CFR Chapter 2))
- 52.253-1 - Computer Generated Forms (JAN 1991)

b. DEPARTMENT OF DEFENSE FEDERAL ACQUISITION REGULATION CLAUSES

DFARS CLAUSE TITLE

- 252.201-7000 - Contracting Officer's Representative (DEC 1991)
- 252.203-7001 - Prohibition On Persons Convicted Of Fraud Or Other Defense Contract Related Felonies (DEC 2004)
- 252.203-7002 - Display Of DoD Hotline Poster (DEC 1991)
- 252.204-7000 - Disclosure Of Information (DEC 1991)
- 252.204-7003 - Control Of Government Personnel Work Product (APR 1992)
- 252.204-7004 - Alternate A (NOV 2003)
- 252.204-7005 - Oral Attestation Of Security Responsibilities (NOV 2001)
- 252.205-7000 - Provision Of Information To Cooperative Agreement Holders (DEC 1991)
- 252.209-7004 - Subcontracting With Firms That Are Owned Or Controlled By The Government Of A Terrorist Country (DEC 2006)
- 252.215-7000 - Pricing Adjustments (DEC 1991)
- 252.215-7004 - Excessive Pass-Through Charges (APR 2007)
- 252.223-7004 - Drug-Free Work Force (SEP 1988)
- 252.223-7006 - Prohibition On Storage And Disposal Of Toxic And Hazardous Materials (APR 1993)
- 252.225-7012 - Preference For Certain Domestic Commodities (JAN 2007)
- 252.226-7001 - Utilization of Indian Organizations, Indian-Owned Economic Enterprises, And Native Hawaiian Small Business Concerns (SEP 2004)
- 252.227-7000 - Non Estoppel (OCT 1966)
- 252.227-7001 - Release Of Past Infringement (AUG 1984)
- 252.227-7013 - Rights In Technical Data -- Noncommercial Items (NOV 1995)
- 252.227-7014 - Rights In Noncommercial Computer Software And Noncommercial Computer Software Documentation (JUN 1995)
- 252.227-7016 - Rights In Bid Or Proposal Information (JUN 1995)
- 252.227-7019 - Validation Of Asserted Restrictions--Computer Software (JUN 1995)
- 252.227-7026 - Deferred Delivery Of Technical Data Or Computer Software (APR 1988)

- 252.227-7027 - Deferred Ordering Of Technical Data Or Computer Software (APR 1988)
- 252.227-7030 - Technical Data--Withholding Of Payment (MAR 2000)
- 252.227-7034 - Patents--Subcontracts (APR 1984)
- 252.227-7037 - Validation Of Restrictive Markings On Technical Data (SEP 1999)
- 252.227-7039 - Patents--Reporting Of Subject Inventions (APR 1990)
- 252.231-7000 - Supplemental Cost Principles (DEC 1991)
- 252.232-7003 - Electronic Submission Of Payment Requests (MAY 2006)
- 252.232-7010 - Levies On Contract Payments (DEC 2006)
- 252.235-7010 - Acknowledgment Of Support And Disclaimer (MAY 1995)
- 252.235-7011 - Final Scientific Or Technical Report (NOV 2004)
- 252.243-7002 - Requests For Equitable Adjustment (MAR 1998)
- 252.244-7000 - Subcontracts For Commercial Items And Commercial Components (DoD Contracts) (JAN 2007)
- 252.245-7001 - Reports Of Government Property (MAY 1994)
- 252.246-7003 - Notification Of Potential Safety Issues (JAN 2007)
- 252.247-7023 - Transportation Of Supplies By Sea (MAY 2002)
- 252.247-7024 - Notification Of Transportation Of Supplies By Sea (MAR 2000)
(will be included if the successful offeror made a negative response to the inquiry at DFARS 252.247-7022)
- 252.251-7000 - Ordering From Government Supply Sources (NOV 2004)

I-2 FAR 52.223-11 - OZONE-DEPLETING SUBSTANCES (MAY 2001)

(a) *Definitions.* "Ozone-depleting substance", as used in this clause, means any substance the Environmental Protection Agency designates in 40 CFR Part 82 as –

- (1) Class I, including, but not limited to, chlorofluorocarbons, halons, carbon tetrachloride, and methyl chloroform; or
- (2) Class II, including, but not limited to, hydrochlorofluorocarbons.

(b) The Contractor shall label products which contain or are manufactured with ozone-depleting substances in the manner and to the extent required by 42 U.S.C. 7671j (b), (c), and (d) and 40 CFR Part 82, Subpart E, as follows:

WARNING

Contains (or manufactured with, if applicable) _____, a substance(s) which harm(s) public health and environment by destroying ozone in the upper atmosphere.

*The Contractor shall insert the name of the substance(s).

PART III - LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS
SECTION J
LIST OF ATTACHMENTS

- J-1** Attachment (1) - Statement Of Work - 4 Pages, With Exhibit A - DD Form 1423, Contract Data Requirements List, 2 Pages.
- J-2** Attachment (2) – Personnel Qualifications, 1 Page.
- J-3** Attachment () – Accounting and Appropriation Data- 1 page. *
(To be included at time of award)*

PART IV - REPRESENTATIONS AND INSTRUCTIONS
SECTION - K
REPRESENTATIONS, CERTIFICATIONS
AND OTHER STATEMENTS OF OFFERORS OR RESPONDENTS

K-1 REPRESENTATIONS, CERTIFICATIONS, AND OTHER STATEMENTS OF OFFERORS OR RESPONDENTS

In accordance with FAR 4.1201, prospective contractors must complete electronic annual federal representations and certifications via the Online Representations and Certifications Application (ORCA) at <http://orca.bpn.gov> in conjunction with required registration in the Central Contractor Registration (CCR) <http://www.ccr.gov> database. The representations and certification must be updated as necessary, but at least annually, to ensure they are kept current, accurate and complete.

In addition, each offeror must submit completed DFARS and contract specific Representations, Certifications, and Other Statements Of Offerors or Respondents with its proposal which is available electronically in full text at <http://heron.nrl.navy.mil/contracts/rep sandcerts.htm> .
Use Contract Representations and Certifications: A

K-2 FILL IN FOR FAR 52.219-1 - SMALL BUSINESS PROGRAM REPRESENTATIONS (MAY 2004)

The fill in information is as follows:

- (a) (1) The NAICS code for this acquisition is: **541710**
(2) The small business size standard is: **500 Employees**

**SECTION L
INSTRUCTIONS CONDITIONS AND NOTICES
TO OFFERORS OR RESPONDENTS**

L-1 FAR 52.252-1 SOLICITATION PROVISIONS INCORPORATED BY REFERENCE (FEB 1998)

This solicitation incorporates one or more solicitation provisions by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. The offeror is cautioned that the listed provisions may include blocks that must be completed by the offeror and submitted with its quotation or offer. In lieu of submitting the full text of those provisions, the offeror may identify the provision by paragraph identifier and provide the appropriate information with its quotation or offer. Also, the full text of a solicitation provision may be accessed electronically at this/these address(es):

<http://www.acqnet.gov/far>
<http://heron.nrl.navy.mil/contracts/home.htm>

FAR CLAUSE TITLE

- | | | |
|-----------|---|---|
| 52.215-1 | - | Instructions To Offerors- Competitive Acquisition (JAN 2004) |
| 52.215-5 | - | Facsimile Proposals (OCT 1997)
Paragraph (c) is completed as follows: (202) 767-6197 (primary) or (202) 767-0494 (alternate). In addition proposals may be transmitted by e-mail to jerry.riles@nrl.navy.mil (primary) in either Microsoft Word (2000 or earlier) or pdf format. |
| 52.215-16 | - | Facilities Capital Cost Of Money (JUN 2003) |
| 52.237-1 | - | Site Visit (APR 1984) |
| 52.237-10 | - | Identification Of Uncompensated Overtime (OCT 1997) |

DFAR CLAUSE TITLE

- | | | |
|---------------|---|--|
| 252.209-7001- | - | Disclosure Of Ownership Or Control By The Government Of A Terrorist Country (OCT 2006) |
| 252.215-7003- | - | Excessive Pass-Through Charges—Identification Of Subcontract Effort (APR 2007) |

L-2 INSTRUCTIONS FOR SUBMISSION OF PROPOSALS/OFFERS

All proposals shall be submitted in accordance with FAR 52.215-1- *Instructions to Offerors- Competitive Acquisition*. Proposals/offers submitted in paper media through the United States Postal Service (USPS) or delivery services shall be addressed:

Contracting Officer, ATTN: Code 3230

RFP No. N00173-07- R-JR02

Naval Research Laboratory

4555 Overlook Avenue, S.W.

Washington, D.C. 20375

Closing Date: 18 June 2007

Time: 4:00pm EST

Proposals may be hand delivered to the Contracting Office, NRL, 4555 Overlook Avenue, S.W., Washington, D.C. 20375, Building 222, Room 115 between the hours of 8AM until 4PM, local time, excluding weekends and federal holidays. NRL is a controlled-access facility. Photo identification will be required. Report first to Building 72, Visitor Control for access to NRL. After receiving a Visitor Pass, proceed directly to Building 222, Room 115, Contracting Office Receptionist to deliver the proposal. All offerors shall allow sufficient time for delivery of their proposal to the Contracting Office prior to the closing date and time announced in the solicitation. Directions and additional information about NRL is available at <http://www.nrl.navy.mil/aboutdc.htm>

If facsimile proposals are authorized, contracting officers may request offeror(s) to provide the complete; original signed proposal at a later date.

L-3 FAR 52.211-14 - NOTICE OF PRIORITY RATING FOR NATIONAL DEFENSE USE (SEP 1990)

Any contract awarded as a result of this solicitation will be a DX rated order; DO rated order certified for national use under the Defense Priorities and Allocations system (DPAS) (15 CFR 700), and the Contractor will be required to follow all of the requirements of this regulation.

L-4 FAR 52.215-20 REQUIREMENTS FOR COST OR PRICING DATA OR INFORMATION OTHER THAN COST OR PRICING DATA (OCT 1997)ALTERNATE IV (OCT 1997)

- (a) Submission of cost or pricing data is not required.
- (b) Provide information described in Sections L-12 and L-13.

L-5 FAR 52.216-1 - TYPE OF CONTRACT (APR 1984)

The Government contemplates award of a Cost Plus Fixed Fee Term type contract resulting from this solicitation.

L-6 FAR 52.233-2 - SERVICE OF PROTEST (SEP 2006)

(a) Protests, as defined in Section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the Government Accountability Office(GAO), shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgment of receipt from the Control Desk, Code 3200, Bldg. 222, Rm. 115, Naval Research Laboratory, 4555 Overlook Ave., S.W., Washington DC 20375-5326.

- (b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.

L-7 DFARS 252.227-7017 - IDENTIFICATION AND ASSERTION OF USE, RELEASE, OR DISCLOSURE RESTRICTIONS (JUN 1995)

- (a) The terms used in this provision are defined in following clause or clauses contained in this solicitation—
 - (1) If a successful offeror will be required to deliver technical data, the Rights in Technical Data--Noncommercial Items clause, or, if this solicitation contemplates a contract under the Small Business Innovative Research Program, the Rights in Noncommercial Technical Data and Computer Software--Small Business Innovative Research (SBIR) Program clause.
 - (2) If a successful offeror will not be required to deliver technical data, the Rights in Noncommercial Computer Software and Noncommercial Computer Software Documentation clause, or, if this solicitation contemplates a contract under the Small Business Innovative Research Program, the Rights in Noncommercial Technical Data and Computer Software--Small Business Innovative Research (SBIR) Program clause.
- (b) The identification and assertion requirements in this provision apply only to technical data, including computer software documents, or computer software to be delivered with other than unlimited rights. For contracts to be awarded under the Small Business Innovative Research Program, the notification requirements do not apply to technical data or computer software that will be generated under the resulting contract. Notification and identification is not required for restrictions based solely on copyright.
- (c) Offers submitted in response to this solicitation shall identify, to the extent known at the time an offer is submitted to the Government, the technical data or computer software that the Offeror, its subcontractors or suppliers, or potential subcontractors or suppliers, assert should be furnished to the Government with restrictions on use, release, or disclosure.
- (d) The Offeror's assertions, including the assertions of its subcontractors or suppliers or potential subcontractors or suppliers shall be submitted as an attachment to its offer in the following format, dated and signed by an official authorized to contractually obligate the Offeror:

Identification and Assertion of Restrictions on the Government's Use, Release, or Disclosure of Technical Data or Computer Software.

The Offeror asserts for itself, or the persons identified below, that the Government's rights to use, release, or disclose the following technical data or computer software should be restricted:

Technical Data or Computer Software to be Furnished With Restrictions*	Basis for Assertion **	Asserted Rights Category ***	Name of Person Asserting Restrictions****
(List)*****	(List)	(List)	(List)

- * For technical data (other than computer software documentation) pertaining to items, components, or processes developed at private expense, identify both the deliverable technical data and each such items, component, or process. For computer software or computer software documentation identify the software or documentation.
- ** Generally, development at private expense, either exclusively or partially, is the only basis for asserting restrictions. For technical data, other than computer software documentation, development refers to development of the item, component, or process to which the data pertain. The Government's rights in computer software documentation generally may not be restricted. For computer software, development refers to the software. Indicate whether development was accomplished exclusively or partially at private expense. If development was not accomplished at private expense, or for computer software documentation, enter the specific basis for asserting restrictions.
- *** Enter asserted rights category (e.g., government purpose license rights from a prior contract, rights in SBIR data generated under another contract, limited, restricted, or government purpose rights under this or a prior contract, or specially negotiated licenses).
- **** Corporation, individual, or other person, as appropriate.
- ***** Enter "none" when all data or software will be submitted without restrictions.

Date _____
 Printed Name and Title _____

 Signature _____

(End of identification and assertion)

- (e) An offeror's failure to submit, complete, or sign the notification and identification required by paragraph (d) of this provision with its offer may render the offer ineligible for award.
- (f) If the Offeror is awarded a contract, the assertions identified in paragraph (d) of this provision shall be listed in an attachment to that contract. Upon request by the Contracting Officer, the Offeror shall provide sufficient information to enable the Contracting Officer to evaluate any listed assertion.

L-8 DFARS 252.227-7028 - TECHNICAL DATA OR COMPUTER SOFTWARE PREVIOUSLY DELIVERED TO THE GOVERNMENT (JUN 1995)

The Offeror shall attach to its offer an identification of all documents or other media incorporating technical data or computer software it intends to deliver under this contract with other than unlimited rights that are identical or substantially similar to documents or other media that the Offeror has produced for, delivered to, or is obligated to deliver to the Government under any contract or subcontract. The attachment shall identify - -

- (a) The contract number under which the data or software were produced;
- (b) The contract number under which, and the name and address of the organization to whom, the data or software were most recently delivered or will be delivered; and
- (c) Any limitations on the Government's rights to use or disclose the data or software, including, when applicable, identification of the earliest date the limitations expire.

L-9 GOVERNMENT-FURNISHED PROPERTY

No material, labor, or facilities will be furnished by the Government unless provided for in the solicitation.

L-10 INQUIRIES CONCERNING THE RFP

Any questions concerning the RFP must be submitted in writing to the Contracting Officer representative at the contact location noted in blocks 7 and 10 of the Standard Form 33, *Solicitation, Offer and Award*, **no less than fifteen (15) days before closing**. Offerors are cautioned against directing any questions concerning this RFP to technical personnel at the Naval Research Laboratory.

L-11 PROPOSAL ORGANIZATION

(1) Information for the technical/management proposal shall be placed in Volume I and be completely separate from the business proposal (Volume II).

(2) Proposal Format and Length - No attempt is made to restrict the proposal format and style. However, the proposal should be written and organized so as to be compatible with the RFP, the Statement of Work, company's organization and accounting structure, and proposed cost estimate. Offerors are encouraged to use recycled paper and maximize the use of double sided copying when preparing responses to solicitations.

L-12 VOLUME I - TECHNICAL/MANAGEMENT PROPOSAL

REQUIRED COPIES: 1 ORIGINAL AND 3 COPIES .

(1) The offeror must propose the labor categories in accordance with the Level of Effort Breakdown in Section H. If the offeror uses labor category terminology other than that used in Section H, the offer must provide a matrix clearly relating their proposed labor categories to those stated.

(2) The following information is required for evaluation of your technical/management proposal:

A. PERSONNEL QUALIFICATIONS:

The proposal should clearly state the qualifications and technical competence of all the personnel proposed for this project, including their background, pertinent experience, and the length of time each will be working on the project. This should be demonstrated by resumes for all key project personnel, which should clearly demonstrate education level, experience, both general and project related, and availability, including any proposed subcontractors and /or consultants to the project. Offerors must demonstrate the degree to which the proposed personnel meet or exceed the requirements set forth in the Personnel Qualifications, Attachment (2).

B. TECHNICAL APPROACH:

The proposal should indicate the offerors complete understanding of the technical requirements and the general nature of all tasks set forth under the Statement of Work. Means for managerial control of quality, cost, and timeliness should be included for both smaller tasks requiring rapid response, and for tasks involving complex design, fabrication, and installation of major laboratory equipment. The ability/procedure for accurate estimation of the cost of tasks is an important component. The ability of proposed key personnel to meet rapid response requirements should be addressed. A simple statement of understanding or playback of the SOW will not be considered as responsive.

C. COMPANY EXPERIENCE:

The proposal should indicate the offerors demonstrated capacity to routinely and rapidly respond to the design engineering, fabrication and installation requirements of a research laboratory. Examples from within the previous 5 years must be provided. The company must also have demonstrated capability to manage the design, fabrication, and installation of large, expensive (>\$100,000.00) components engineered for research applications. Examples and references must be provided for the prime contractor and proposed subcontractors.

The proposal should address the ability to administratively support “on-site” efforts with minimum demands upon Government personnel assistance.

The proposals should indicate the sufficiency of the offerors staff to accommodate program changes within scope of the SOW.

D. PAST PERFORMANCE INFORMATION

(a) Offerors shall submit the following information as part of their proposal. (*Offerors are encouraged to submit the information prior to other parts of the proposal to assist the government in reducing the length of the evaluation period.*) List the last **three (3)** contracts or subcontracts completed by the offeror or predecessor companies during the past **five (5)** years for services similar in nature to this requirement. Include in the **three (3)**, any current contracts or subcontracts for similar services that were awarded at least one year prior to the date of this solicitation. Offerors that have no similar previous or current contracts should provide the requested information for proposed subcontractors that will perform major or critical aspects of the requirement or for the proposed project manager or key personnel responsible for major or critical aspects of the requirement.

1. Name of contracting organization.
2. Contract number
3. Contract type
4. Total contract value
5. Description of the contract work
6. Contracting officer and telephone number
7. Contracting officer’s representative, program manager, or similar official and telephone number

(b) Offerors shall contact the contracting organizations identified pursuant to paragraph (a) as soon as possible and request them to send past performance information on the identified contracts to the address in Block 7 of the face page of this solicitation. The past performance report which is available electronically in full text at <http://heron.nrl.navy.mil/contracts/home.htm> is to be provided to the contracting organization for this purpose. If the contracting organization has already collected past performance information on the contract pursuant to FAR Subpart 42.15, the format used to collect the information may be used instead of the past performance report.

(c) Offerors may include in their proposals specific information relating to problems encountered in performing the identified contracts and any corrective actions by the offeror. Offerors should not provide general information on their performance on the identified contracts as this will be obtained from the contracting organizations.

L-13 VOLUME II - BUSINESS PROPOSAL

REQUIRED COPIES: 1 ORIGINAL AND 3 COPIES

(1) COST PROPOSAL

(a) The offeror shall submit a business proposal that includes a cost proposal with supporting information for each cost element consistent with offeror's cost accounting system. The supporting breakdown should include such elements as materials, direct labor, indirect cost, and other costs such as travel. The offeror shall provide exhibits as necessary to substantiate each cost element. Should rates be used in the proposal which are not DCAA approved, the offeror shall provide complete documentation and the rationale for their use at time of proposal submission. However, offerors are advised to use actual labor rates of proposed personnel as the basis for estimating labor costs when practicable.

(b) It is requested that the offeror provide one copy of their cost proposal on a PC formatted disk or CD ROM using software that is compatible with Microsoft Excel V 5.1.2600.

(c) The following Travel and Material estimates are for evaluation purposes only. The Government estimates the travel and material costs for this effort to be as shown in the matrix below:

	BASE	OPTION 1	OPTION 2	OPTION 3	OPTION 4
Materials	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000
Travel	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000

SECTION M EVALUATION FACTORS FOR AWARD

M-1 EVALUATION

Award will be made to that offeror whose proposal is determined to be the best value to the Government, proposed cost and other factors considered. The Government reserves the right to make award to other than the low offeror. The technical considerations are more important than the cost factor. The closer the technical scores of the various proposals are to one another, the more important the cost considerations become.

M-2 EVALUATION FACTORS FOR AWARD

Proposals will be evaluated in accordance with the following criteria. The technical factor is more important than the cost factor. Technical subfactor (1) is of greater importance than technical subfactors (2), (3) , and (4), which are of equal importance.

M-2-1. TECHNICAL/MANAGEMENT

(1) PERSONNEL QUALIFICATIONS

The proposal will be evaluated on the qualifications and technical competence of all the personnel proposed for this project, including their background, pertinent experience, and the length of time each will be working on the project. The evaluations will be based on resumes for all key project personnel, which should clearly demonstrate education level, experience, both general and project related, and availability, including any proposed subcontractors and /or consultants to the project. The proposal will be evaluated on the degree to which the offeror demonstrates that the proposed personnel meet or exceed the requirements set forth in the Personnel Qualifications, Attachment (2).

(2) TECHNICAL APPROACH

The proposal will be evaluated on the offerors complete understanding of the technical requirements and the general nature of all tasks set forth under the Statement of Work. Means for managerial control of quality, cost, and timeliness should be included for both smaller tasks requiring rapid response, and for tasks involving complex design, fabrication, and installation of major laboratory equipment. The proposal will be evaluated on the offerors ability/procedure for accurate estimation of the cost of tasks. The proposal will be evaluated on the ability of proposed key personnel to meet rapid response requirements. A simple statement of understanding or playback of the SOW will not be considered as responsive

(3) COMPANY EXPERIENCE

The proposal will be evaluated on the offerors demonstrated capacity to routinely and rapidly respond to the design engineering, fabrication and installation requirements of a research laboratory. Examples from within the previous 5 years must be provided. The proposal will be evaluated on the offerors demonstrated capability to manage the design, fabrication, and installation of large, expensive (>\$100,000.00) components engineered for research applications. Examples and references must be provided for the prime contractor and proposed subcontractors.

The proposal should address the ability to administratively support "on-site" efforts with minimum demands upon Government personnel assistance.

The proposals will be evaluated on the sufficiency of the offerors staff to accommodate program changes within scope of the SOW.

(5) PAST PERFORMANCE

Past performance will be evaluated on the basis of the quality of the work performed, timeliness of performance, cost control, and business relations. The evaluation will be based on the information provided pursuant to Section L and other sources if available. The evaluation will take into account past performance information regarding predecessor companies, subcontractors that will perform major or critical aspects of the requirement, or the proposed project manager or key personnel responsible for major or critical aspects of the requirement. Offerors that have no relevant performance history or for which past performance information is not available will not be evaluated favorably or unfavorably on past performance. The government may begin proposal evaluation prior to receipt of past performance information. If, after completion of proposal evaluation except evaluation of past performance, the contracting officer determines that evaluation of past performance will not affect the outcome of competitive selection, the contracting officer may waive its evaluation in accordance with FAR 15.304(c)(3)(iv).

M-2-2 COST TO THE GOVERNMENT

Proposed estimated cost to the Government. The Government may adjust the proposed cost for purposes of evaluation based upon an evaluation of cost realism. Cost Realism means that the costs in an offeror's proposal are realistic for the work to be performed; reflect a clear understanding of the requirements; and are consistent with the various elements of the offeror's technical proposal. The cost realism evaluation includes an analysis of the adequacy of the hours, labor mix, and other direct costs to perform the work as proposed in the technical proposal as well as the proposed labor and indirect rates. It also includes evaluation of the likelihood that the risks inherent in the offeror's technical approach will result in higher actual costs than anticipated.

M-3 FAR 52.217-5 - EVALUATION OF OPTIONS (JUL 1990)

Except when it is determined in accordance with FAR 17.206(b) not to be in the Government's best interests, the Government will evaluate offers for award purposes by adding the total price for all options to the total price for the basic requirement. Evaluation of options will not obligate the Government to exercise the option(s).

STATEMENT OF WORK
for
**RESEARCH SUPPORT IN THE AREA OF
RADIATION AND ACCELERATION PHYSICS**

1.0 INTRODUCTION

The Naval Research Laboratory (NRL), Beam Physics Branch, of the Plasma Physics Division uses and develops theoretical, numerical and experimental techniques to solve basic physics problems and assess potential applications of novel radiation sources and particle beam accelerators. The Branch presently studies a broad range of processes involved with the generation and propagation of intense beams and their interaction with materials. Specific research areas include pulsed laser propagation in gases and plasmas, nonlinear optics, laser-induced ionization, free-electron sources of radiation, novel x-ray and γ -ray sources, nuclear material detection, high-gradient accelerators, electron and ion beam transport, high power microwave sources, and microwave interaction with materials. For many years the Branch has developed new concepts with contractor support.

The development of advanced sources of radiation and accelerators is of great interest in a wide variety of applications, ranging from scientific research, military and industrial systems, to medical and clinical procedures. New classes of radiation sources and accelerators have been conceived and studied that promise to vastly improve upon and extend the performance of existing technology. Availability of high-power sources of radiation and high-gradient accelerators has led to a tremendous surge in areas such as nonlinear optics and fundamental physics, with new and potentially revolutionary applications. Pulsed laser applications of interest include remote sensing and detection of pollutants, hazardous materials and weapons of mass destruction, ultrafast imaging, compact electron accelerators, directed energy weapons, laser-triggered electrical discharges, and plasma-based optical elements. High power microwave applications of interest include the production, bonding, and sintering of ceramics and other advanced materials, microwave sources for high gradient accelerators, and power beaming.

The Branch maintains several major experimental facilities and a number of smaller scale experiments. Much of the theoretical and computational research supports these experiments. The Branch currently has two ultrashort laser sources: the Tabletop Terawatt (T^3) laser, and the Ten-Hertz Femtosecond laser (TFL). The T^3 laser currently produces a 400 fs long pulse at 1.054 μm wavelength with pulse energy of 5 joules and is used for ultra intense laser propagation studies and laser-driven accelerators. The TFL laser currently produces a train of 50 fsec, 50 mJ, 0.8 μm pulses at a pulse repetition rate of 10 Hz, and will primarily be used to study laser propagation in the atmosphere. Construction of another major ultrashort pulse laser facility is currently under consideration. The Branch has a number of CW, kW-class fiber lasers for atmospheric propagation experiments. The Branch also has a 4.5 MeV RF electron gun that is co-located with the ultrashort pulse laser experiments. A separate facility houses several major high power microwave experiments and the PHAROS laser. The microwave sources include an X-band magnicon, an active pulse compression experiment, and a high average power fixed frequency gyrotron. The gyrotron source is primarily used for

materials processing applications. The PHAROS laser can deliver more than 1 kilojoule of laser energy in a pulse length of several nanoseconds and is primarily used for pulsed x-ray and shock physics studies.

The Branch has also developed several large-scale simulation codes that treat laser propagation in gases and plasmas. These include the HELCAP air propagation code, the turboWAVE particle simulation code, and the LEM cold fluid simulation code. There is an ongoing effort to improve algorithms, physical models, and diagnostics in these codes. This simulation effort is strongly tied to experiments in the Branch.

2.0 SCOPE

The purpose of this contract is to provide personnel to perform research in the areas described above. The basic research nature of the work requires the use of analytical, numerical and experimental techniques as well as computer hardware to study the various problem areas.

3.0 TECHNICAL REQUIREMENTS

Numerical, analytical and experimental requirements are covered under Tasks 3.1-3.6. Administrative support requirements are covered in Task 3.7.

3.1 Intense Laser Propagation in the Atmosphere:

Work under this task area involves analytical, numerical, and experimental studies of the key physics issues associated with propagating intense laser pulses in the atmosphere. These issues include nonlinear effects, filamentation, dispersion, thermal blooming, turbulence, scattering, absorption and aerosols. The contractor will develop the analytical framework for numerical models that treat these processes and assist with the design, implementation and interpretation of numerical simulations. The contractor will also provide support for the design and operation of laser propagation experiments in the atmosphere, combining and operation of fiber lasers and the acquisition and analysis of data. The contractor will also evaluate potential applications involving atmospheric propagation of ultrashort pulse lasers and CW fiber lasers.

3.2 High Gradient Laser-Driven Acceleration:

The laser wakefield accelerator (LWFA) is an example of a high-gradient accelerator and represents the mainline effort at the NRL. Studies will address issues such as all-optical injectors, particle trapping, optical guiding, plasma channels, particle acceleration, beam loading and efficiency. The contractor will develop the analytical models that provide the framework for numerical models that treat these processes in LWFA and optical injectors and assist with the design, implementation and interpretation of numerical simulations. The contractor will also provide support for the design and operation of LWFA and capillary plasma channel guiding experiments, and the acquisition and analysis of data.

3.3 Fundamental Plasma and Optical Processes:

Research in this area encompasses photo-ionization, nonlinear self-focusing due to the optical Kerr effect, stimulated Raman scattering, relativistic self-focusing, harmonic generation, self-phase modulation, spectral broadening, optical guiding in plasma channels, and plasma-based laser pulse control methods. Of particular interest is the application of these, such as the generation of THz radiation for remote sensing and detection. The contractor will develop improved analytical and numerical models that treat these fundamental processes. The contractor will also assist in incorporating these improved models to large scale simulation codes being developed in the Branch.

3.4 Electron Beam Driven Radiation Sources:

The Branch has an ongoing interest in tunable, electron beam driven radiation sources such as the free electron laser (FEL) and laser synchrotron x-ray and γ -ray source. The contractor will develop analytical and numerical models for electron beam dynamics and radiation generation in FELs. This will include both the near infrared (IR) wavelength regime of the high average power FEL at the Thomas Jefferson National Laboratory as well as proposed FELs operating in the soft x-ray regime. The contractor will also provide theoretical and numerical support for the NRL laser synchrotron x-ray and γ -ray source experiment. The contractor will also evaluate potential applications of these sources to the detection of nuclear materials and weapons of mass destruction, mammography, angiography, biological imaging, and ultrafast chemical kinetics.

3.5 High Power Microwave Sources and Applications:

The Branch has high-power sources of microwaves and millimeter waves that have widespread applications such as testing of accelerator modules in the X-band regime, for materials synthesis and processing of ceramic materials. Of particular interest is the use of focusable millimeter waves to provide localized heating for bonding ceramics and other advanced materials. The contractor will provide support for the design and operation of experiments on the millimeter wave processing of ceramics and other materials and the acquisition and analysis of data. The contractor will also propose and evaluate potential material processing or other applications of millimeter wave and magnicon sources.

3.6 Facility Construction, Operation, and Maintenance:

The laser facilities at NRL covered under this task area include the kW-class, CW fiber lasers, the 10 TW, 400 fs, Nd:glass, 1 μm T³ laser, and the 10 TW, Ti: sapphire, ten Hertz, femtosecond laser (TFL). The microwave facilities at NRL include the high frequency microwave processing system. The contractor will perform various tasks involved in the construction, operation, and maintenance of these laser and microwave facilities. (These tasks include the maintenance and operation of existing vacuum systems, pulsed or CW power circuits, optical or microwave transport systems, target chambers, and diagnostics.) In addition, the contractor will be involved in the planning, construction, and testing of upgrades to existing laser and microwave systems as well as any future major new systems.

3.7 Office Automation Support:

The contractor will provide additional support to the Branch in the area of office automation and administration. This includes preparation of technical and administrative documents in a variety of formats including transfer of documents to the Division web server. The performance of routine administrative tasks may also be required.

4.0 Deliverables:

4.1 The contractor shall deliver to NRL all codes developed under this contract to use in the numerical modeling, for unrestricted use and further development by NRL. Other contractor proprietary codes may be purchased by NRL on a site license basis.

4.2 Monthly project cost and expenditure reports will be required.

4.3 On-Site Labor Report

4.4 Bi-annual Technical Progress Reports

4.5 The contractor shall submit any designs drawings, plans, schematics, and manuals generated under this contract.

4.6 A final technical report will be required within 60 days after expiration of contract.

5.0 Contractor Furnished Equipment, Materials, Subcontracts and Supplies:

If directed to do so, the contractor shall provide any other equipment, material, subcontracts and supplies not furnished by the Government but required to perform the work assigned under the contract. As is necessary for performance under this contract, the contractor shall provide materials (or subcontracts) necessary for performing the numerical or experimental studies. In particular, these include equipment required to perform the experimental portion of the task areas. The above are not all-inclusive and binding, but are representative of the type of materials that are anticipated for performance of this contract. The contractor shall also provide supplies for contractor personnel. Equipment and unexpended materials and supplies purchased by the contractor under this contract shall become the property of the Government at the end of the performance period.

PERSONNEL REQUIREMENTS

The basic research nature of the work requires the use of experienced personnel with a strong background in plasma physics, optics, or electrical engineering. The following labor categories are desired:

a. Principal Research Scientist/Engineer:

Ph.D. in physics or electrical engineering and 10 years related experience. This experience should include successful management of at least one major research project. Experience in plasma physics, optics, accelerator technology, pulsed power physics and/or high power microwave sources and applications required.

b. Senior Research Scientist/Engineer:

PhD in physics or engineering and 5 years related experience in plasma physics, optics, accelerator technology, materials science, and/or high power microwave sources.

c. Research Scientist/Engineer:

Ph. D. in a physical science or engineering, or M.S. in a physical science or engineering and 3 years related experience in plasma physics, optics, accelerator technology, materials science, and/or high power microwave sources.

d. Technician:

B.S. /B.A. in a physical science, engineering, or computer science, or a minimum of 2 years experience in optics, electrical systems, laboratory diagnostics, or information technology.

e. Office Automation Specialist:

At minimum of 2 years experience in office automation and administrative support. Must be able to demonstrate familiarity with Windows or Macintosh operating systems and Microsoft Word or related products. Experience with a research organization preferred.

CONTRACT DATA REQUIREMENTS LIST

Form Approved
OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 440 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Executive Services and Communications Directorate (0704-0188). Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. Please do not return your form to the above organization. Send completed form to the Government Issuing Contracting Officer for the Contract/PR No. listed in Block E.

A. CONTRACT LINE ITEM NO. 0002	B. EXHIBIT A	C. CATEGORY: TDP _____ TM _____ OTHER _____
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D. SYSTEM/ITEM RADIATION & ACCELERATION PHY.	E. CONTRACT/PR NO. N00173-07-R-JR02	F. CONTRACTOR TBD
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1. DATA ITEM NO. A003	2. TITLE OF DATA ITEM Design Drawings, Documentation, Plans, Manuals	3. SUBTITLE
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4. AUTHORITY (Data Acquisition Document No.)	5. CONTRACT REFERENCE SOW, 4.0	6. REQUIRING OFFICE CODE 6793
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7. DD 250 REQ N/A	9. DIST STATEMENT REQUIRED N/A	10. FREQUENCY ASREQ	12. DATE OF FIRST SUBMISSION ASREQ	14. DISTRIBUTION		
8. APP CODE		11. AS OF DATE ASREQ	13. DATE OF SUBSEQUENT SUBMISSION ASREQ	a. ADDRESSEE	Draft	b. COPIES
						Final

16. REMARKS The contractor shall submit any design drawings, documentation, plans, manuals, and schematics developed in connection with modified hardware NLT 30 days after completion of tasks.	15. TOTAL →	0	1	0
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17. PRICE GROUP
18. ESTIMATED TOTAL PRICE

1. DATA ITEM NO. A004	2. TITLE OF DATA ITEM Software, Source Codes, Software Documentation,	3. SUBTITLE
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4. AUTHORITY (Data Acquisition Document No.)	5. CONTRACT REFERENCE SOW, 4.0	6. REQUIRING OFFICE CODE 6793
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7. DD 250 REQ N/A	9. DIST STATEMENT REQUIRED N/A	10. FREQUENCY ASREQ	12. DATE OF FIRST SUBMISSION ASREQ	14. DISTRIBUTION		
8. APP CODE N/A		11. AS OF DATE ASREQ	13. DATE OF SUBSEQUENT SUBMISSION ASREQ	a. ADDRESSEE	Draft	b. COPIES
						Final

16. REMARKS The contractor shall submit all software and related software codes/documentation developed under this contract. Other contractor proprietary codes may be purchased by NRL on a site license basis	15. TOTAL →	0	1	0
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17. PRICE GROUP
18. ESTIMATED TOTAL PRICE

1. DATA ITEM NO. A005	2. TITLE OF DATA ITEM FINAL DESIGN REVIEW	3. SUBTITLE
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4. AUTHORITY (Data Acquisition Document No.)	5. CONTRACT REFERENCE SOW, 4.0	6. REQUIRING OFFICE CODE 6793
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7. DD 250 REQ Y	9. DIST STATEMENT REQUIRED N/A	10. FREQUENCY OTIME	12. DATE OF FIRST SUBMISSION 90 DAC COMP	14. DISTRIBUTION		
8. APP CODE N/A		11. AS OF DATE 90 DAC COMP	13. DATE OF SUBSEQUENT SUBMISSION N/A	a. ADDRESSEE	Draft	b. COPIES
						Final

16. REMARKS A final report shall be submitted to the COR within ninety days after completion of this contract.	15. TOTAL →	0	1	1
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17. PRICE GROUP
18. ESTIMATED TOTAL PRICE

1. DATA ITEM NO.	2. TITLE OF DATA ITEM	3. SUBTITLE
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4. AUTHORITY (Data Acquisition Document No.)	5. CONTRACT REFERENCE	6. REQUIRING OFFICE
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7. DD 250 REQ	9. DIST STATEMENT REQUIRED	10. FREQUENCY	12. DATE OF FIRST SUBMISSION	14. DISTRIBUTION		
8. APP CODE		11. AS OF DATE	13. DATE OF SUBSEQUENT SUBMISSION	a. ADDRESSEE	Draft	b. COPIES
						Final

16. REMARKS	15. TOTAL →	0	0	0
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17. PRICE GROUP
18. ESTIMATED TOTAL PRICE

G. PREPARED BY Code 3230	H. DATE 5/27/2007	I. APPROVED BY	J. DATE
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